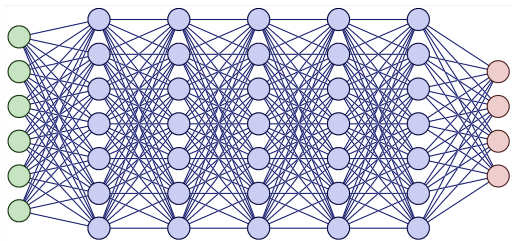


Uses of ML for Lattice Field Theories



L Del Debbio

Higgs Centre for Theoretical Physics
University of Edinburgh

work in collaboration with

D Albanea, P Hernandez, R Kenway, J Marsh-Rossney, A Ramos,
M Wilson

seminal work by *MIT group*

and more work by *Bacchio et al*, and *Lehner & Wettig*

for details, see papers [idd et al 21], [albandea et al 23], [albergo et al 19], [bacchio et al 22], [lehner & wettig 23]

focus on two applications

- sampling of field configurations
- inversion of the Dirac operator
- crucial ingredients for simulations of LFT on exascale machines?
- significant progress in the last 4 years
- understand the ingredients and the recipe

Monte Carlo sampling

$$\langle O \rangle = \frac{1}{Z} \int \mathcal{D}\phi e^{-S(\phi)} O(\phi)$$

$$\hookrightarrow \left\{ \phi^{(1)}, \dots, \phi^{(N)} \right\} \sim p(\phi) = \frac{1}{Z} e^{-S(\phi)} \quad (\text{physical/target distribution})$$

estimator for $\langle O \rangle$

$$\bar{O} = \frac{1}{N} \sum_{n=1}^N O(\phi^{(n)})$$

$$\text{Var}[\bar{O}] = \frac{2\tau_O}{N} \text{Var}[O], \quad \tau_O = \frac{1}{2} + \sum_t \frac{\Gamma_O(t)}{\Gamma_O(0)}$$

$$\Gamma_O(t) = \langle O^{(n+t)} O^{(n)} \rangle - \langle O \rangle^2$$

critical slowing down

$$\tau_O \sim \hat{\xi}^{z_O}, \quad \tau_{\text{top}} \sim \exp\left(c\xi^\theta\right)$$

trivializing flows

change of variables in the path integral

[luscher 09]

$$\phi = \mathcal{F}(\tilde{\phi}) \quad \Longrightarrow \quad \mathcal{F}^*(\tilde{\phi})_{xy} = \frac{\partial \phi_x}{\partial \tilde{\phi}_y}$$

$$\mathcal{D}\phi = \mathcal{D}\tilde{\phi} \det \mathcal{F}^*(\tilde{\phi})$$

yields

$$Z = \int \mathcal{D}\phi e^{-S(\phi)} = \int \mathcal{D}\tilde{\phi} e^{-S_{\mathcal{F}}(\tilde{\phi})}$$

$$S_{\mathcal{F}}(\tilde{\phi}) = S\left(\mathcal{F}(\tilde{\phi})\right) - \log \det \mathcal{F}^*(\tilde{\phi})$$

$$S_{\mathcal{F}} = \text{const} \quad \Longrightarrow \quad \text{trivial theory!}$$

normalizing flows à la MIT

generative model using latent variables

[albergo et al 19]

$$\phi = f_{\theta}(z), \quad z \sim r(z) \quad (\text{easy/latent distribution})$$

$$\implies \phi \sim p_{\theta}(\phi) = r(f_{\theta}^{-1}(\phi)) |\det f_{\theta}^*|^{-1} \quad (\text{model distribution})$$

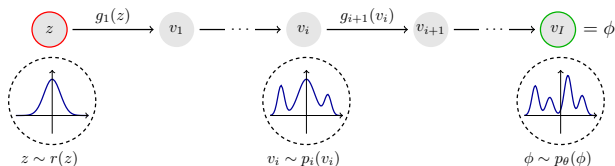
model f_{θ} using *Neural Networks*, find *best* transformation

$$\bar{\theta} = \arg \max_{\theta} D_{\text{KL}}(p_{\theta}|p)$$

$$D_{\text{KL}}(p_{\theta}|p) = \int \mathcal{D}\phi p_{\theta}(\phi) \log \frac{p_{\theta}(\phi)}{p(\phi)} = \mathbb{E}_{z \sim r(z)} [S(f_{\theta}(z)) - \log \det f_{\theta}^*(z)]$$

use f_{θ} to generate candidate configurations + Metropolis accept/reject

expressivity vs usability: coupling layers



$$f_\theta = g_I \circ g_{I-1} \circ \dots \circ g_1$$

$$v_{i+1} = g_i(v_i)$$

$$\hookrightarrow v_{i+1,x} = \begin{cases} v_{i,x}, & x \in \Lambda_i^P \\ C_{i,x}(v_{i,x}; \text{NN}_\theta(v_i^P)), & x \in \Lambda_i^A \end{cases}$$

easy to invert and easy to compute the Jacobian

$$\log \left| \frac{\partial g_i}{\partial v_i} \right| = \sum_{x \in \Lambda_i^A} \log \left| \frac{\partial C_{i,x}}{\partial v_{i,x}} \right|$$

acceptance

Metropolis test/exact algorithm

$$A(\phi \rightarrow \phi') = \min \left(1, \frac{q(\phi|\phi')}{q(\phi'|\phi)} \frac{p(\phi')}{p(\phi)} \right)$$

for normalizing flows

$$q(\phi'|\phi) = p_{\bar{\theta}}(\phi')$$

$$p_{\bar{\theta}}(\phi') = p(\phi') \implies A(\phi \rightarrow \phi') = 1$$

$$\tau_{\mathcal{O}} \geq \frac{1}{\mathbb{E}_{\phi \sim p} \mathbb{E}_{\phi' \sim p_{\bar{\theta}}} [A(\phi \rightarrow \phi')]} - \frac{1}{2}$$

rejection \leftrightarrow correlation

scalar field theory

lattice action

$$S(\phi) = \sum_{x \in \Lambda} \left[-\beta \sum_{\mu} \phi_{x+\mu} \phi_x + \phi_x^2 + \lambda (\phi_x^2 - 1)^2 \right]$$

observables

$$M = \sum_x \phi_x$$

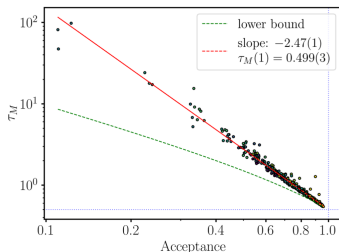
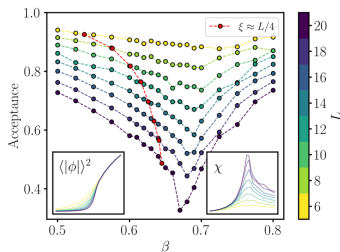
$$\chi = \frac{1}{|\Lambda|} \left\langle (M - \langle M \rangle)^2 \right\rangle$$

$$G(x) = \frac{1}{|\Lambda|} \sum_y \langle \phi_{y+x} \phi_y \rangle - \langle \phi \rangle^2$$

$$G(t) \sim \cosh \left(\frac{t - L/2}{\hat{\xi}} \right)$$

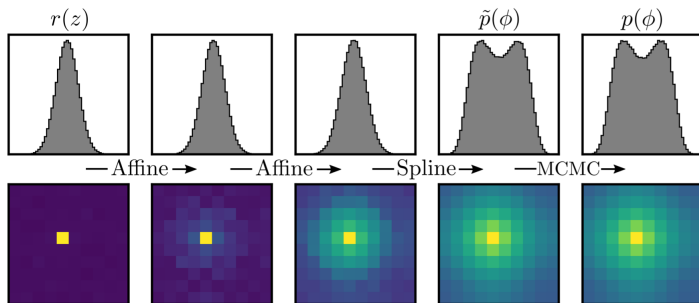
training efficiency

acceptance depends on parameters and the size of the system

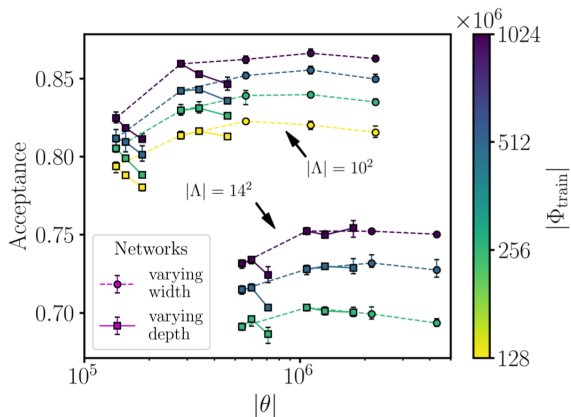


same training for all couplings: 16K iterations, 16K batch size

architecture



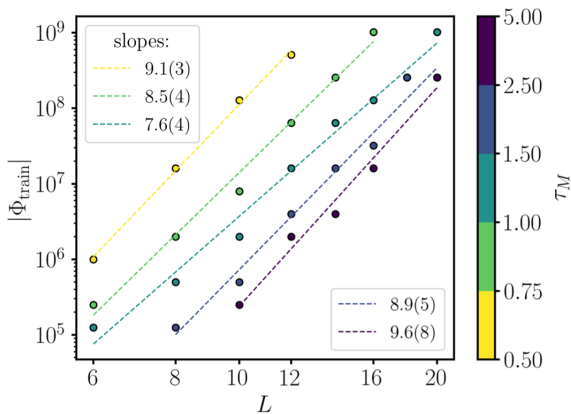
architecture



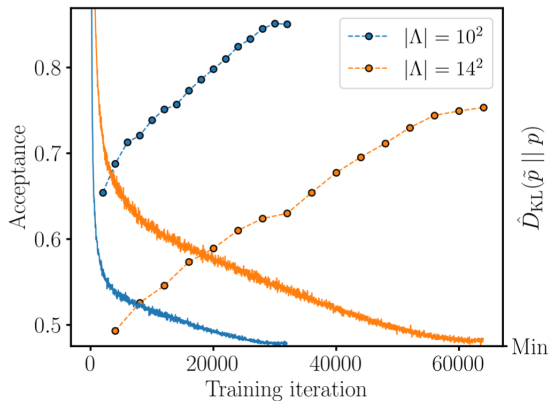
one affine block, one RQS block, 32k iterations

$$\Phi_{\text{train}} = \text{batch size} \times \text{iterations}$$

cost scaling



diminishing returns



flow HMC

- use a normalizing flow to generate an approximate trivializing map

$$\mathcal{F} = f_{\tilde{\theta}}^{-1}$$

- perform an HMC simulation in the 'flow' variables

$$\langle O \rangle = \frac{1}{Z} \int \mathcal{D}\tilde{\phi} e^{-S_{\mathcal{F}}(\tilde{\phi})} O\left(f_{\tilde{\theta}}^{-1}(\tilde{\phi})\right)$$

- Markov chain of $\tilde{\phi}$ configurations

$$\{\tilde{\phi}_1, \dots, \tilde{\phi}_N\} \sim e^{-S_{\mathcal{F}}}$$

- apply $f_{\tilde{\theta}}^{-1}$ to obtain a Markov chain

$$\{\phi_1, \dots, \phi_N\} \sim e^{-S}$$

affine/CNN layers

affine layer

$$g_i(\{\phi^P, \phi^A\}) = \{\phi^P, \phi^A \odot e^{s^{(i)}(\phi^P)} + t^{(i)}(\phi^P)\}$$

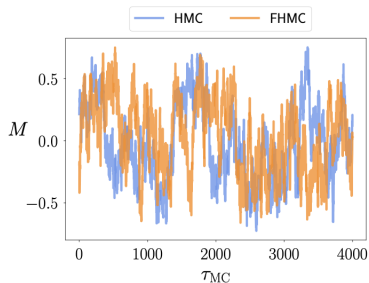
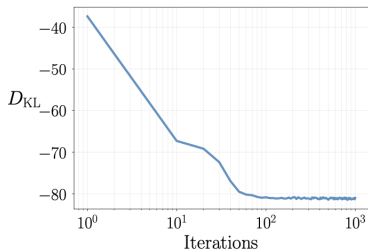
Jacobian matrix

$$\left| \det \frac{\partial g_i(\phi)}{\partial \phi} \right| = \prod_{x_A} e^{s_x^{(i)}(\phi^P)}$$

CNN parametrization

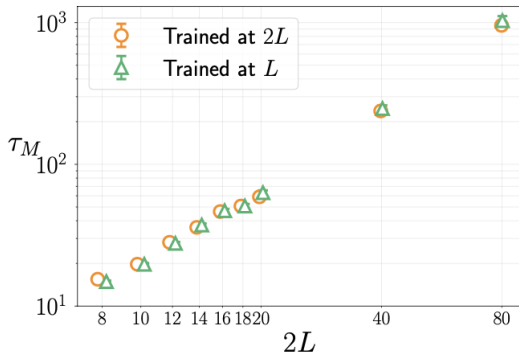
$$s_x^{(i)}(\phi^P) = \tanh \left[\sum_{y \in [-\frac{k-1}{2}, \frac{k-1}{2}]^2} w^{(i)}(y) \phi_{x-y}^P \right]$$

training



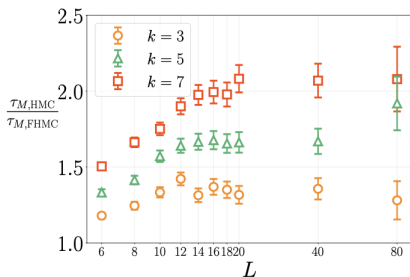
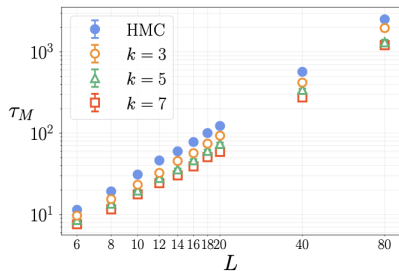
$$\tau_{M,\text{FHMC}} = 74.4(3), \quad \tau_{M,\text{HMC}} = 100.4(2).$$

larger volumes

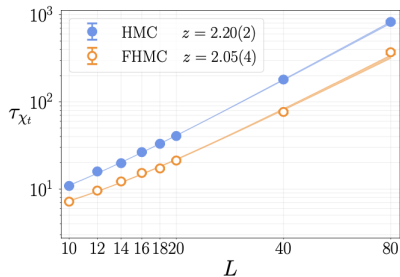
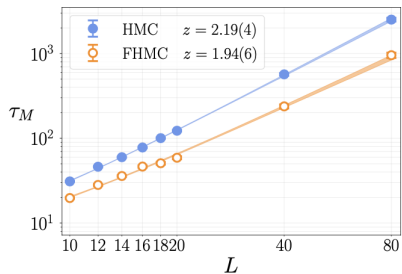


L	β	Acc. at L	Acc. at $2L$
3	0.537	0.3	0.2
4	0.576	0.04	0.001
5	0.601	0.002	0.00003
6	0.616	0.002	0.000007
7	0.626	0.0001	$< 10^{-7}$
8	0.634	0.0001	-
9	0.641	0.00007	-
10	0.645	0.00004	-

scaling at fixed architecture



scaling with $k \sim \xi$



learning gradient flows

build the map by integrating a flow eq in configuration space

$$\dot{U}_t = Z_t(U_t)U_t$$

where

$$[Z^a(U_t)](x, \mu) = -\partial_{x, \mu}^a \tilde{S}(U_t, t)$$

$$\tilde{S}(U_t, t) = \sum_i c_i(t, \theta) \mathcal{W}_i(U_t)$$

$$\mathcal{F}_\theta(V) = U_t$$

[bacchio et al 22]

learn parameters by gradient descent given a cost function

$$\mathcal{C}(\theta) = \langle S_{\mathcal{F}_\theta}(V) \rangle$$

↔ adjoint state method (Lagrange multiplier)

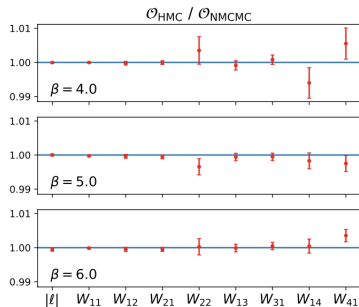
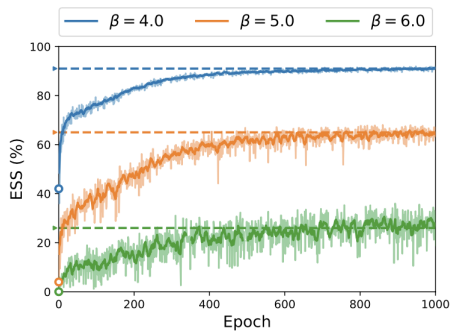
numerical results

- model **A**: $2_t \times 7_W$
- model **B**: $10_t \times 42_W$
- quality of the model:

$$\text{ESS} = \frac{1}{\langle w(V)^2 \rangle} \in [0, 1], \quad w(V) = \frac{p(V)}{q(V)}$$

Ref.	N_{params}	ESS at β		
		4.0	5.0	6.0
Lüscher, NL [3]	8 non-zero values	42%	4%	<1%
This work	A $14 \equiv 2_t \times 7_W$	91%	65%	26%
	B $420 \equiv 10_t \times 42_W$	98%	88%	70%
Boyda <i>et al.</i> [8]	$\mathcal{O}(10^6)$ estimated	88%	75%	48%

numerical results



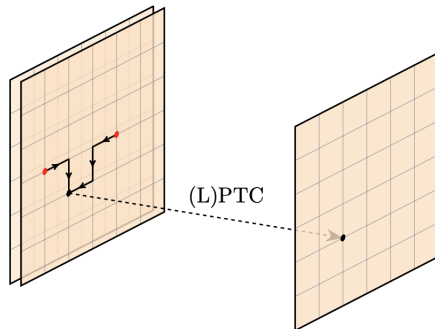
NN as preconditioners

precondition the Dirac equation

$$Du = b \quad \longrightarrow \quad (DM) (M^{-1}u) = b$$

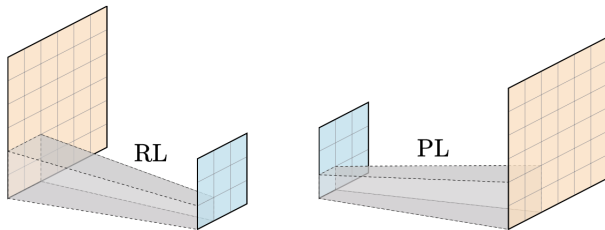
construct a preconditioner using different types of layers

[lehner & wettig 23]



- (L)PTC: $\psi_a(x) = \sum_{b,p} W_{ab}(x) T_p \phi_b(x)$

restriction/prolongation



$$\tilde{\psi}(y) = \sum_{x \in B(y)} W(y, x) \phi(x)$$

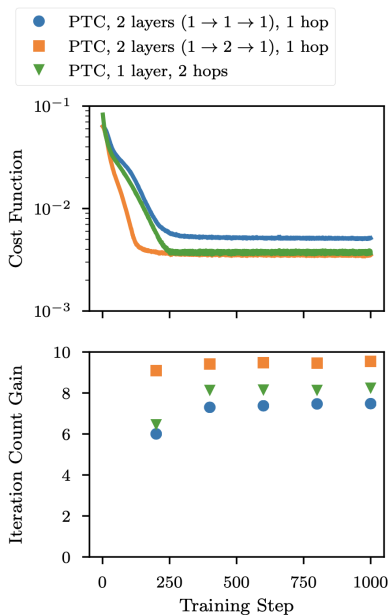
$$\psi(x) = W(y, x)^\dagger \tilde{\psi}(y)$$

hi-mode preconditioner

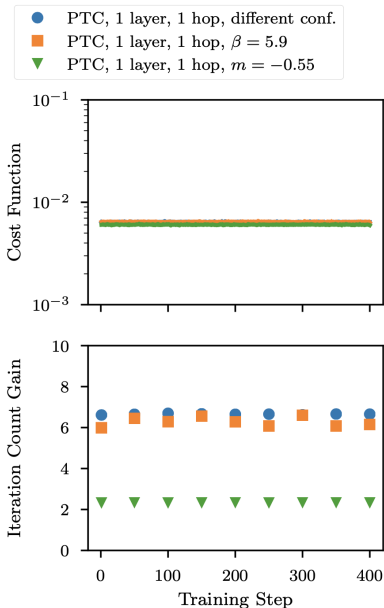
$$C = |MD_{WC}v - v|^2$$

generate training samples:

$$(v, D_{WC}v)$$



transfer learning



lo-mode preconditioner

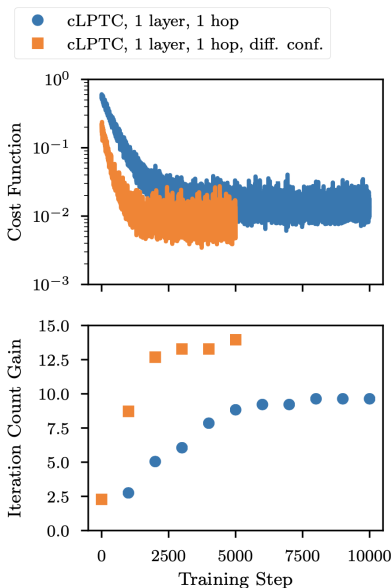
coarse-grid operator

$$\tilde{D} = RD_{WC}P$$

$$C = \left| \tilde{M}\tilde{D}v - v \right|^2$$

generate training samples:

$$(v, \tilde{D}v)$$



outlook

- ML provides interesting ways to define maps
- can be used for generative models/trivializing maps
- scaling of the cost of training
- test on systems with nontrivial topology
- tool to scale to large volumes, fine lattice spacings