

# Superstrings on the Lattice

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**Frontiers in Lattice Quantum Field Theory**  
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# String/gauge duality (AdS/CFT) conjecture

String Theory in  $d > 4$



(Super)conformal  
Gauge Theory in 4d

Two outstanding problems

- ▶ understanding string theories in non-trivial backgrounds
- ▶ understanding the non-perturbative dynamics of gauge theories

addressed together rather than separately.

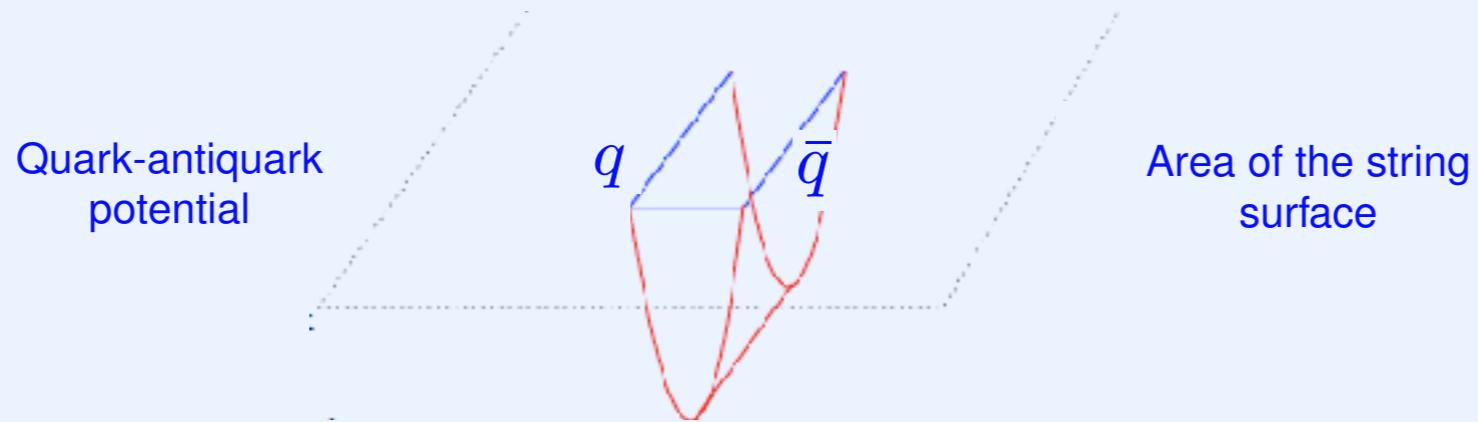
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[Maldacena 97]

$$\text{Type IIB strings in } AdS_5 \times S^5 \longleftrightarrow \mathcal{N} = 4 \text{ super Yang-Mills in 4 d}$$

Each observable in gauge theory has its correspondent in string theory.

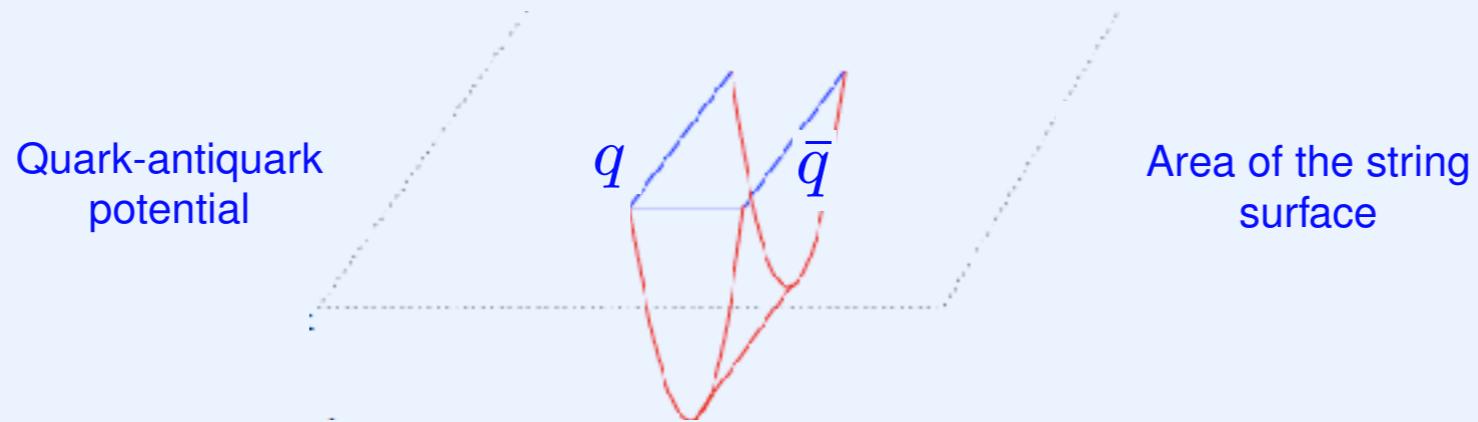


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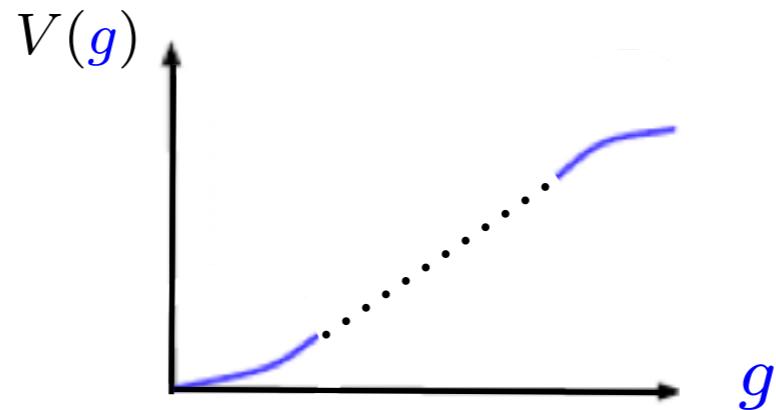
$$V(g) \sim \ln \text{Tr} \mathcal{P} e^{\oint_C A_\mu dx^\mu}$$

$$V(g) \sim \ln Z_{\text{string}}|_C = \ln \int \mathcal{D}X e^{-S_{\text{string}}[X]}$$

$$g := \frac{\sqrt{\lambda}}{4\pi} = \frac{\sqrt{g_{YM}^2 N}}{4\pi} \equiv \frac{R^2}{4\pi\alpha'}$$

Perturbative  
gauge theory

$$V(g) = a g^2 + b g^4 + \dots$$



$$V(g) = c g + d + e \frac{1}{g} + \dots$$

Perturbative  
string sigma model

[Semenoff Zarembo 02] [Drukker VF 11]

[Maldacena 97] [Forste Goshal Theisen 99]  
[Drukker Gross Tseytlin 00] [VF 10]

Non-perturbative regime of one model ( $g \gg 1$ ) accessible analytically via the perturbative regime of the other

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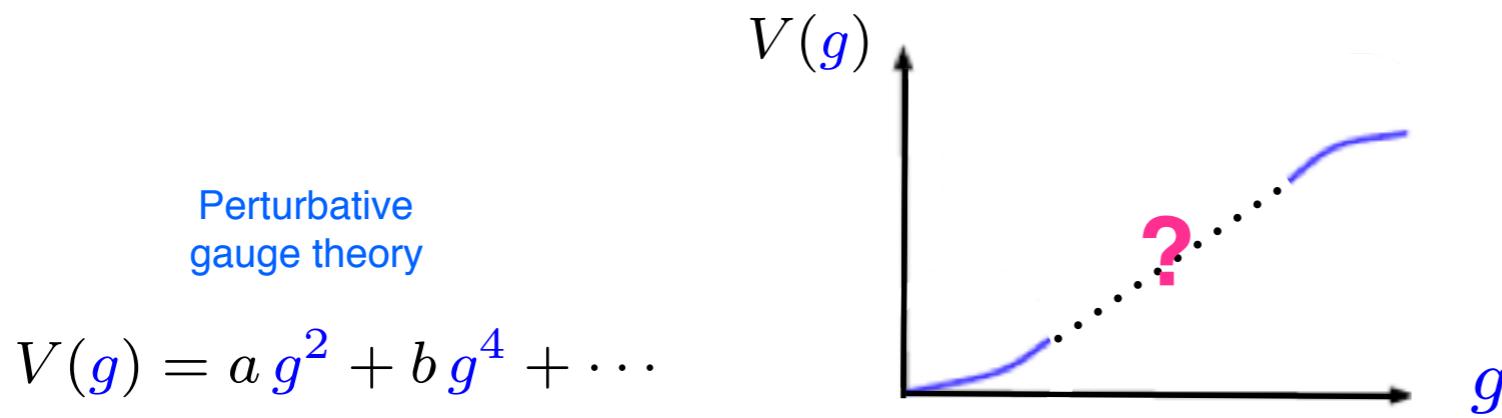
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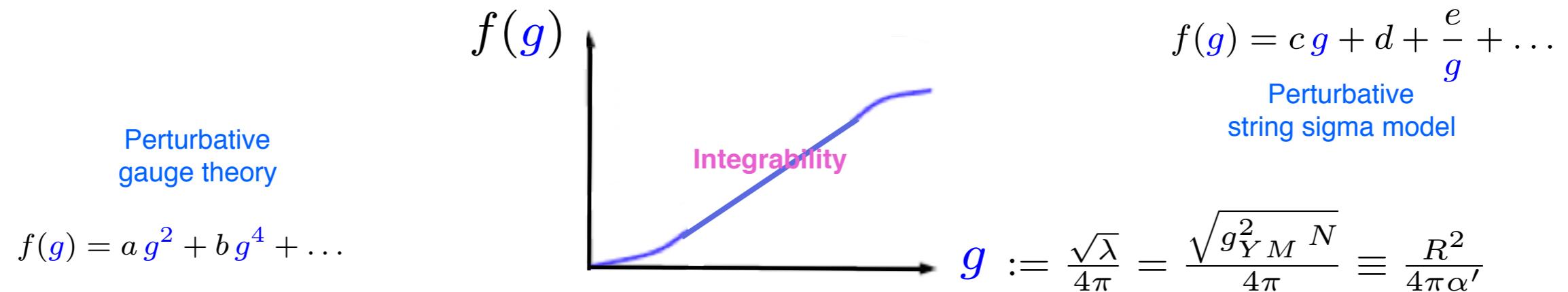
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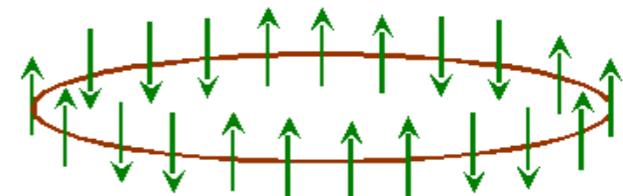
# Exact results in AdS/CFT

Beautiful progress in obtaining within AdS/CFT results **exact** in the coupling



- ▶ from integrability

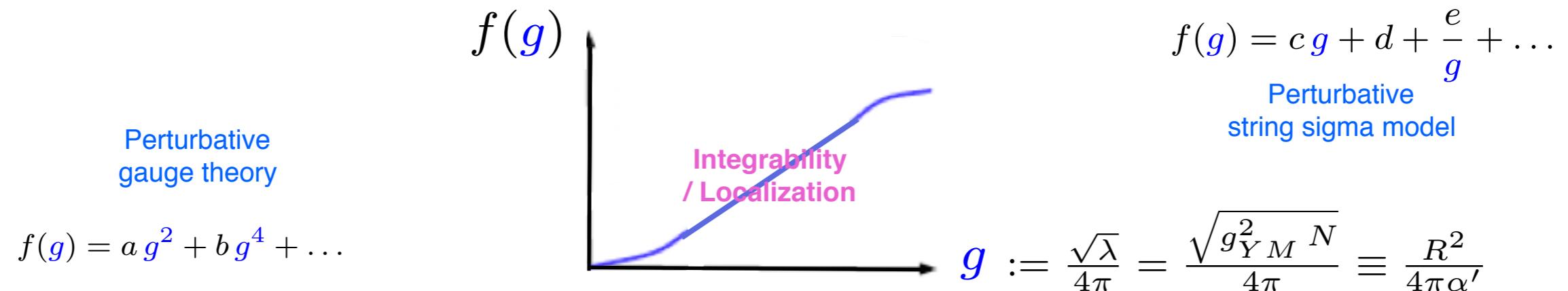
Gauge theory **spectrum** (set of dimensions of local operators): eigenvalue problem for a spin chain hamiltonian



[Minahan Zarembo, 02] [Beisert Staudacher 05] [⋯]

# Exact results in AdS/CFT

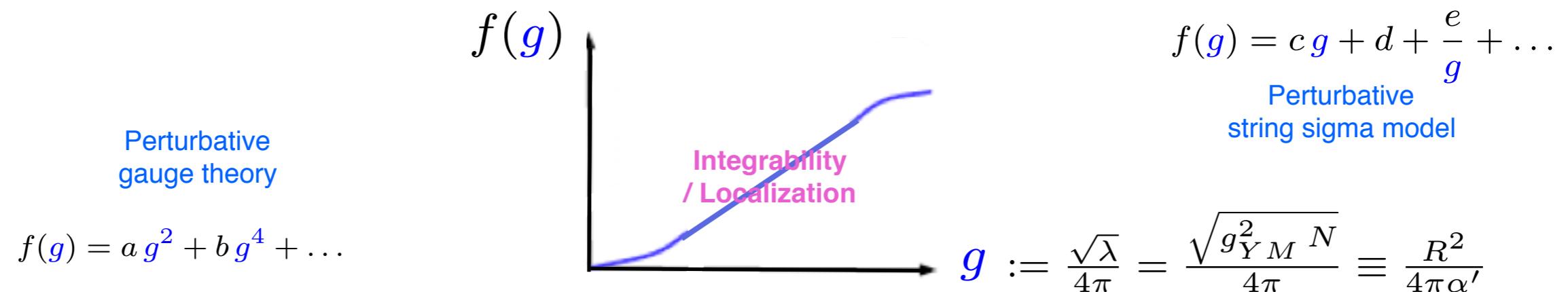
Beautiful progress in obtaining within AdS/CFT results **exact** in the coupling



- ▶ from integrability
- ▶ from supersymmetric localization: it allows **exact** evaluation of path integrals in supersymmetric gauge theories

# Exact results in AdS/CFT

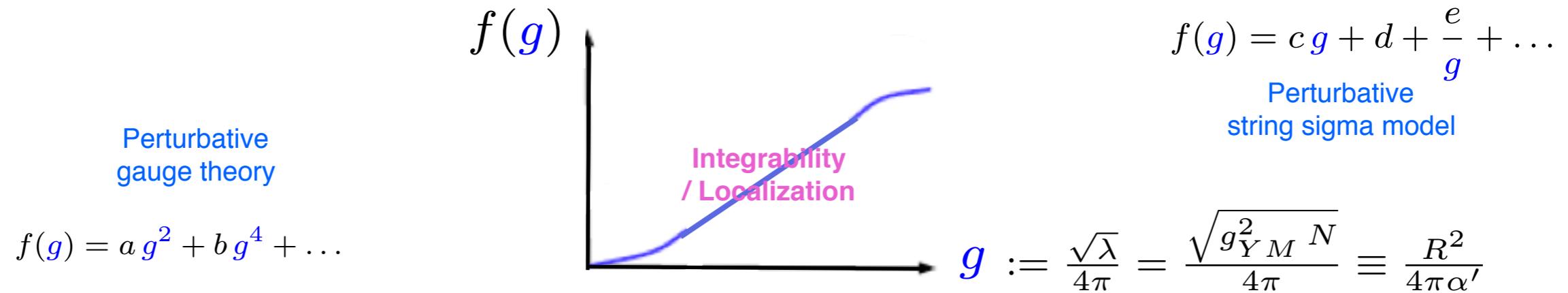
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# Motivation

Beautiful progress in obtaining within AdS/CFT results **exact** in the coupling



- ▶ from integrability (**assumed**)
- ▶ from supersymmetric localization (**supersymmetric observables**)

In the **world-sheet** string theory **integrability only classically**, localization not formulated.

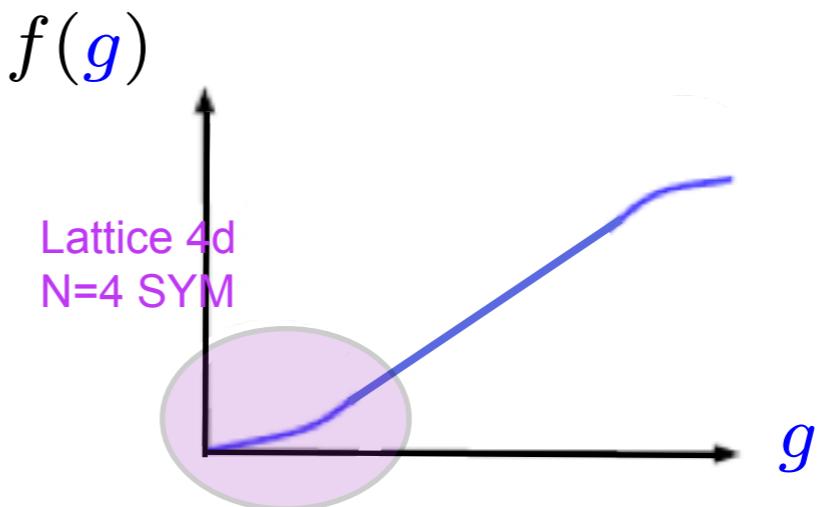
Green-Schwarz superstring in *AdS* backgrounds with RR fluxes: complicated interacting 2d field theory which **has subtleties also perturbatively**.

Call for genuine 2d QFT to cover the finite-coupling region.

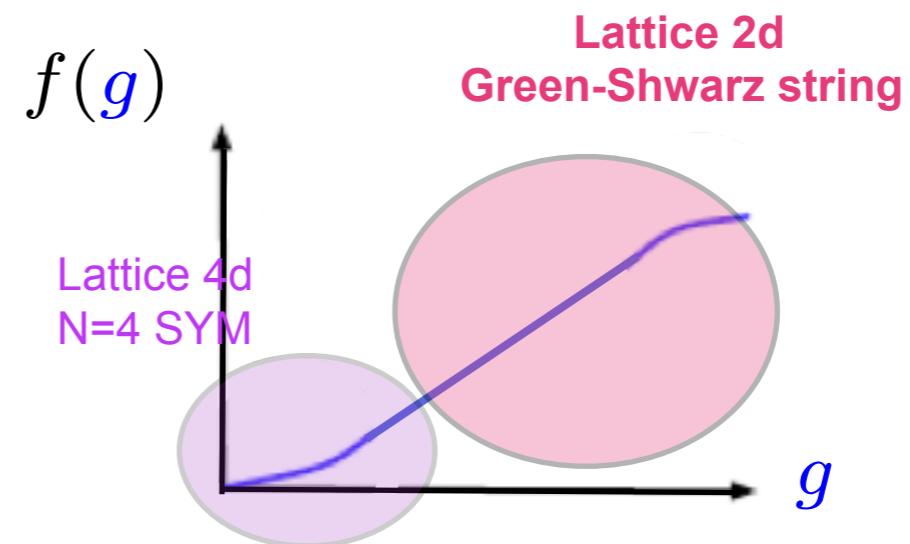
# Lattice techniques in AdS/CFT

Consolidated program on 4d CFT side,  
subtleties with supersymmetry,  
control on the perturbative region.

[Catterall, Damgaard, DeGrand, Giedt, Schaich...]



# Lattice techniques in AdS/CFT



[previous study: Roiban McKeown 2013]

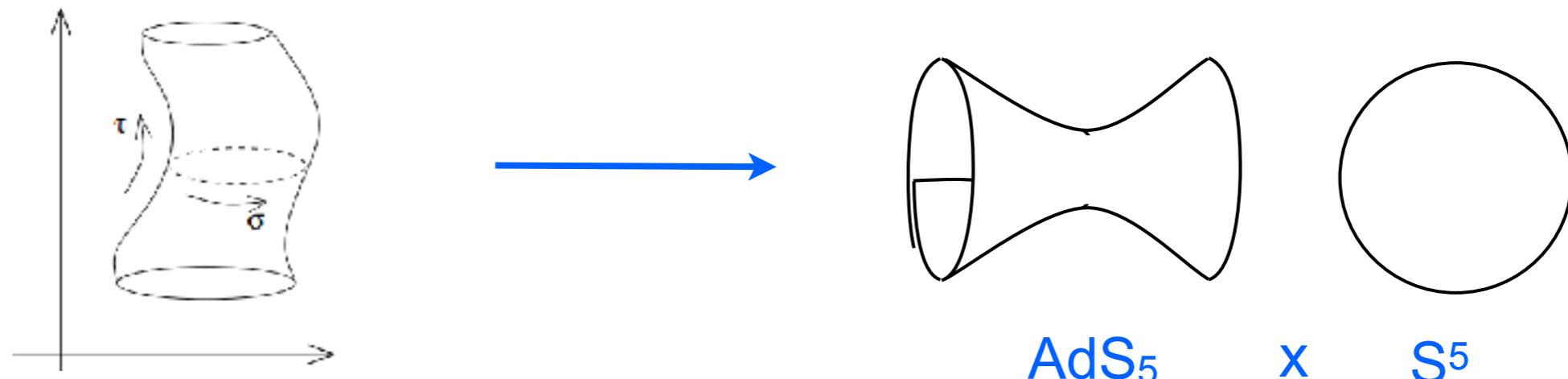
## Features:

- ▶ 2d: computationally **cheap**
- ▶ no **supersymmetry** (only as flavour symmetry, Green-Schwarz)
- ▶ all gauge symmetries are **fixed** (no formulation à la Wilson),  
only **scalar** fields (some of which anti-commuting)

Non-trivial 2d qft with strong coupling analytically known,  
finite-coupling (numerical) prediction.

# The model in perturbation theory

# Green-Schwarz string in $AdS_5 \times S^5$



[Metsaev Tseytlin 1998]

$$S = g \int d\tau d\sigma [ \partial_a X^\mu \partial^a X^\nu G_{\mu\nu} + \bar{\theta} \Gamma (D + F_5) \theta \partial X + \bar{\theta} \partial \theta \bar{\theta} \partial \theta + \dots ]$$

Symmetries:

- ▶ global  $PSU(2, 2|4)$ , local bosonic (diffeomorphism) and fermionic ( $\kappa$ -symmetry)
- ▶ classical integrability

manifest when written as sigma-model action on  $G/H = \frac{PSU(2,2|4)}{SO(1,4) \times SO(5)}$ .

# Green-Schwarz string in $AdS_5 \times S^5$ + RR flux perturbatively

Highly non-linear, to quantize it use semiclassical methods

$$X = X_{\text{cl}} + \tilde{X} \quad \longrightarrow \quad \Gamma = g \left[ \Gamma_0 + \frac{\Gamma_1}{g} + \frac{\Gamma_2}{g^2} + \dots \right]$$

- ▶ General analysis of fluctuations in terms of background geometry,  
[Drukker Gross Tseytlin 00] [Buchbinder Tseytlin 14] [VF Giangreco Griguolo Seminara Vescovi 15]
- ▶ Explicit analytic form of one-loop partition function  $Z = \det O_F / \sqrt{\det O_B}$  for a class of effectively one-dimensional problems.  
Several “vacua” (GKP string, quark-antiquark potential, generalized cusp) “solved” this way at one loop, they agree with predictions.  
[Drukker Gross Tseytlin Frolov VF Beccaria Dunne Giangreco, Ohlson Sax, Griguolo Seminara Vescovi .... ]

In supersymmetric cases – e.g. strings dual to circular Wilson loop – much more care needed (measure ambiguities, zero modes, etc.)

[Kruczenski Tirziu 08] [Kristjansen Makeenko 12] [Buchbinder Tseytlin 14]  
[VF, Giangreco, Griguolo, Seminara, Vescovi 15] [Pando-Zayas Trancanelli et al.16]  
[VF, Vescovi, Tseytlin 17] [Cagnazzo, Medina-Rincon, Zarembo 17] [Medina-Rincon, Tseytlin, Zarembo 18]

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2 loops is current limit: “homogenous” configs, “AdS light-cone” gauge-fixing

[Uvarov, 09,10]



[Giombi Ricci Roiban Tseytlin 09] [Bianchi<sup>2</sup> Bres VF Vescovi 14]

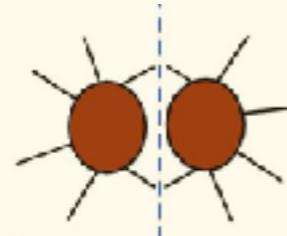
Check of exact predictions based on integrability and localization [Gromov, Syzov 14]  
and check of quantum consistency (UV finiteness) of certain string actions. [Uvarov 09,10]

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Efficient alternative to Feynman diagrams for on-shell objects (worldsheet S-matrix)



unitarity cuts (on-shell methods) in d=2

[Bianchi VF Hoare 2013][Engelund Roiban 2013] [Bianchi Hoare 14]

# Beyond perturbation theory

with L. Bianchi, M. S. Bianchi, B. Leder, P. Töpfer, E. Vescovi

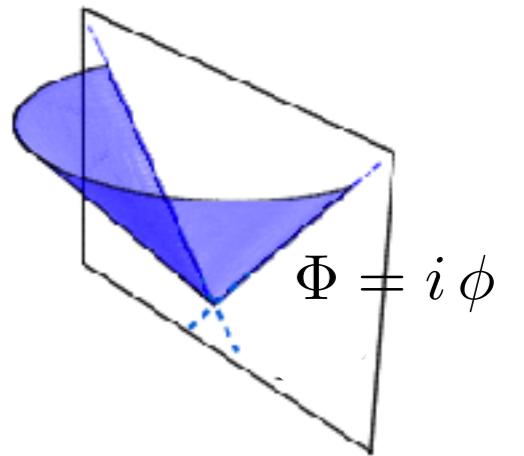
# The cusp anomaly of $\mathcal{N} = 4$ SYM from string theory

Completely solved via integrability. [Beisert Eden Staudacher 2006]

Expectation value of a light-like cusped Wilson loop



$$\langle W[C_{\text{cusp}}] \rangle \sim e^{-f(g) \phi \ln \frac{L_{\text{IR}}}{\epsilon_{\text{UV}}}}$$



$$Z_{\text{cusp}} = \int [D\delta X][D\delta\theta] e^{-S_{\text{IIB}}(X_{\text{cusp}} + \delta X, \delta\theta)}$$

String partition function with “cusp” boundary conditions.

[Giombi Ricci Roiban Tseytlin 2009]

$X_{\text{cusp}}$  is the minimal surface

$$ds_{AdS_5}^2 = \frac{dz^2 + dx^+ dx^- + dx^* dx}{z^2} \quad x^\pm = x^3 \pm x^0 \quad x = x^1 + i x^2$$

$$z = \sqrt{\frac{\tau}{\sigma}} \quad x^+ = \tau \quad x^- = -\frac{1}{2\sigma} \quad x^+ x^- = -\frac{1}{2} z^2$$

ending on a null cusp, since  $x^+ x^- = 0$  at the boundary  $z = 0$ .

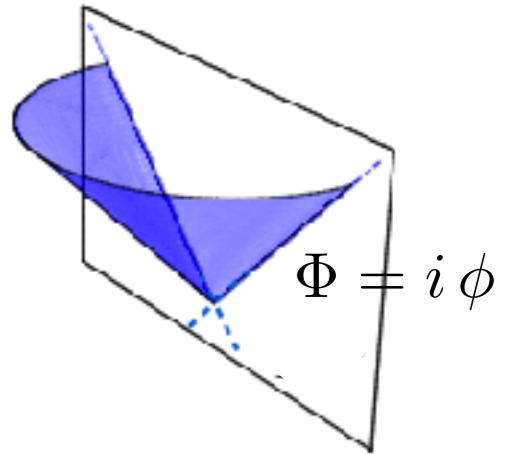
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String partition function with “cusp” boundary conditions.

Perturbatively

$$f(g)|_{g \rightarrow 0} = 8g^2 \left[ 1 - \frac{\pi^2}{3}g^2 + \frac{11\pi^4}{45}g^4 - \left( \frac{73}{315} + 8\zeta_3 \right) g^6 + \dots \right] \quad [\text{Bern et al. 2006}]$$

$$f(g)|_{g \rightarrow \infty} = 4g \left[ 1 - \frac{3\ln 2}{4\pi} \frac{1}{g} - \frac{K}{16\pi^2} \frac{1}{g^2} + \dots \right] \quad [\text{Gubser Klebanov Polyakov 02}] \\ [\text{Frolov Tseytlin 02}] [\text{Giombi et al. 2009}]$$

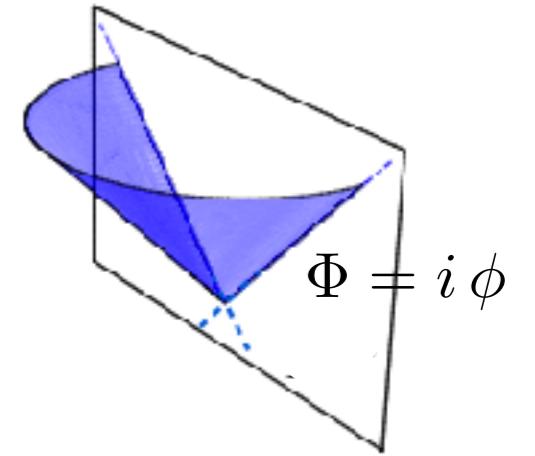
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String partition function with “cusp” boundary conditions.

A lattice approach prefers expectation values

$$\langle S_{\text{cusp}} \rangle = \frac{\int [D\delta X][D\delta\Psi] S_{\text{cusp}} e^{-S_{\text{cusp}}}}{\int [D\delta X][D\delta\Psi] e^{-S_{\text{cusp}}}} = -g \frac{d \ln Z_{\text{cusp}}}{dg} \equiv g \frac{V_2}{8} f'(g)$$

$\downarrow$

$$S_{\text{cusp}} = g \int \mathcal{L}_{\text{cusp}}$$

# Green-Schwarz string in the null cusp background

The (AdS lightcone) gauge-fixed action for fluctuations above the null cusp is

$$S_{\text{cusp}} = g \int dt ds \mathcal{L}_{\text{cusp}}$$

[Giombi Ricci Roiban Tseytlin 2009]

$$\begin{aligned} \mathcal{L}_{\text{cusp}} = & |\partial_t x + \frac{1}{2}x|^2 + \frac{1}{z^4} |\partial_s x - \frac{1}{2}x|^2 + \left( \partial_t z^M + \frac{1}{2}z^M + \frac{i}{z^2} z_N \eta_i (\rho^{MN})_j^i \eta^j \right)^2 + \frac{1}{z^4} (\partial_s z^M - \frac{1}{2}z^M)^2 \\ & + i (\theta^i \partial_t \theta_i + \eta^i \partial_t \eta_i + \theta_i \partial_t \theta^i + \eta_i \partial_t \eta^i) - \frac{1}{z^2} (\eta^i \eta_i)^2 \\ & + 2i \left[ \frac{1}{z^3} z^M \eta^i (\rho^M)_{ij} (\partial_s \theta^j - \frac{1}{2}\theta^j - \frac{i}{z} \eta^j (\partial_s x - \frac{1}{2}x)) + \frac{1}{z^3} z^M \eta_i (\rho_M^\dagger)^{ij} (\partial_s \theta_j - \frac{1}{2}\theta_j + \frac{i}{z} \eta_j (\partial_s x - \frac{1}{2}x)^*) \right] \end{aligned}$$

- ▶ 8 bosons:  $x, x^*, z^M$  ( $M = 1, \dots, 6$ ),  $z = \sqrt{z_M z^M}$ ;
- ▶ 8 fermions:  $\theta^i = (\theta_i)^\dagger$ ,  $\eta^i = (\eta_i)^\dagger$ ,  $i = 1, 2, 3, 4$ , complex Grassmann;
- ▶  $\rho^M$  are off-diagonal blocks of  $SO(6)$  Dirac matrices
- ▶  $(\rho^{MN})_j^i$  are the  $SO(6)$  generators

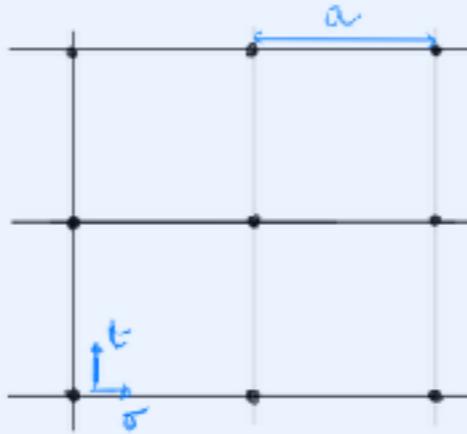
Remnant global symmetry is  $SO(6) \times SO(2)$ .

Fermionic interactions at most quartic.

# Lattice QFT basics

Discretize Euclidean worldsheet in a grid of lattice spacing  $a$ , size  $L = N a$ .

Fields  $\phi \equiv \phi_n$  defined at  $\xi = (an_1, an_2) \equiv a n$ .



Graßmann-odd fields are formally integrated out:  $P[\Phi_i] = \frac{e^{-S_E[\Phi_i]} \det \mathcal{O}_F}{Z}$

- action must be quadratic in fermions (linearization via auxiliary fields):

$$\times \equiv > \cdots <$$

Introduce auxiliary fields  
(complex bosons)

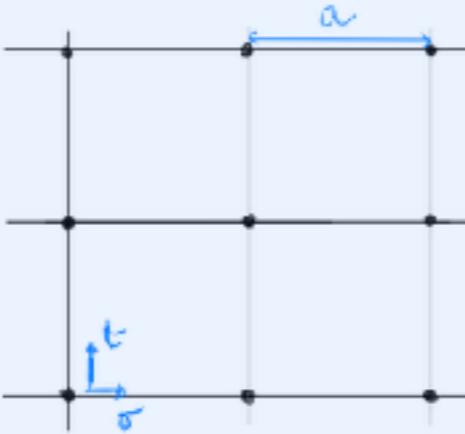
- determinant must be positive definite

$$\det O_F \rightarrow \sqrt{\det(O_F O_F^\dagger)} = \int D\zeta D\bar{\zeta} e^{-\int d^2\xi \bar{\zeta}(O_F O_F^\dagger)^{-\frac{1}{4}} \zeta}$$

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$$\text{Pf } O_F \longrightarrow (\det O_F^\dagger O_F)^{\frac{1}{4}} \equiv \int D\zeta D\bar{\zeta} e^{-\int d^2\xi \bar{\zeta} (O_F^\dagger O_F)^{-\frac{1}{4}} \zeta}$$

# Linearization

# Four-fermion interactions

Linearization via Hubbard-Stratonovich transformation

$$\exp \left\{ -g \int dt ds \mathcal{L}_4 \right\} \sim \int d\phi d\phi^M \exp \left\{ -g \int dt ds \mathcal{L}_{\text{aux}} \right\}$$

$$\begin{aligned} & \exp \left\{ -g \int dt ds \left[ -\frac{1}{z^2} (\eta^i \eta_i)^2 + \left( \frac{i}{z^2} z_N \eta_i \rho^{MN}{}^i{}_j \eta^j \right)^2 \right] \right\} \\ & \sim \int D\phi D\phi^M \exp \left\{ -g \int dt ds [\frac{1}{2} \phi^2 + \frac{\sqrt{2}}{z} \phi \eta^2 + \frac{1}{2} (\phi_M)^2 - i \frac{\sqrt{2}}{z^2} \phi^M \left( \frac{i}{z^2} z_N \eta_i \rho^{MN}{}^i{}_j \eta^j \right)] \right\}. \end{aligned}$$

- ▶ +7 bosonic auxiliary fields  $\phi, \phi^M$  ( $M = 1, \dots, 6$ )

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hermitian

- ▶ +7 bosonic auxiliary fields  $\phi, \phi^M$  ( $M = 1, \dots, 6$ )
- ▶  $\mathcal{L}_{\text{aux}}$  is not hermitian,  $e^{-\frac{b^2}{4a}} = \int dx e^{-a x^2 + \textcolor{blue}{i} b x}, b \in \mathbb{R}$ .

# Green-Schwarz string in the null cusp background

After linearization the Lagrangian reads ( $m \sim P_+$ )

$$\begin{aligned} \mathcal{L}_{\text{cusp}} = & |\partial_t x + \frac{m}{2} x|^2 + \frac{1}{z^4} |\partial_s x - \frac{m}{2} x|^2 + (\partial_t z^M + \frac{m}{2} z^M)^2 + \frac{1}{z^4} (\partial_s z^M - \frac{m}{2} z^M)^2 \\ & + \frac{1}{2} \phi^2 + \frac{1}{2} (\phi_M)^2 + \psi^T O_F \psi , \end{aligned}$$

where  $\psi \equiv (\theta^i, \theta_i, \eta^i, \eta_i)$  and

$$O_F = \begin{pmatrix} 0 & i\partial_t & -i\rho^M \left(\partial_s + \frac{m}{2}\right) \frac{z^M}{z^3} & 0 \\ i\partial_t & 0 & 0 & -i\rho_M^\dagger \left(\partial_s + \frac{m}{2}\right) \frac{z^M}{z^3} \\ i\frac{z^M}{z^3} \rho^M \left(\partial_s - \frac{m}{2}\right) & 0 & 2\frac{z^M}{z^4} \rho^M \left(\partial_s x - m\frac{x}{2}\right) & i\partial_t - A^T \\ 0 & i\frac{z^M}{z^3} \rho_M^\dagger \left(\partial_s - \frac{m}{2}\right) & i\partial_t + A & -2\frac{z^M}{z^4} \rho_M^\dagger \left(\partial_s x^* - m\frac{x^*}{2}\right) \end{pmatrix}$$

$$A = \frac{1}{\sqrt{2}z^2} \phi_M \rho^{MN} z_N - \frac{1}{\sqrt{2}z} \phi + i \frac{z_N}{z^2} \rho^{MN} \partial_t z^M$$

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As  $A^\dagger \neq A$ , Pfaffian is **complex**:  $\text{Pf}(O_F) = e^{i\theta} (O_F O_F^\dagger)^{\frac{1}{4}}$ .

# Phase problem

Even with  $\text{Pf}(\mathcal{O}_F) = e^{i\theta} (O_F O_F^\dagger)^{\frac{1}{4}}$ , vev's can be still obtained via **reweighting**:

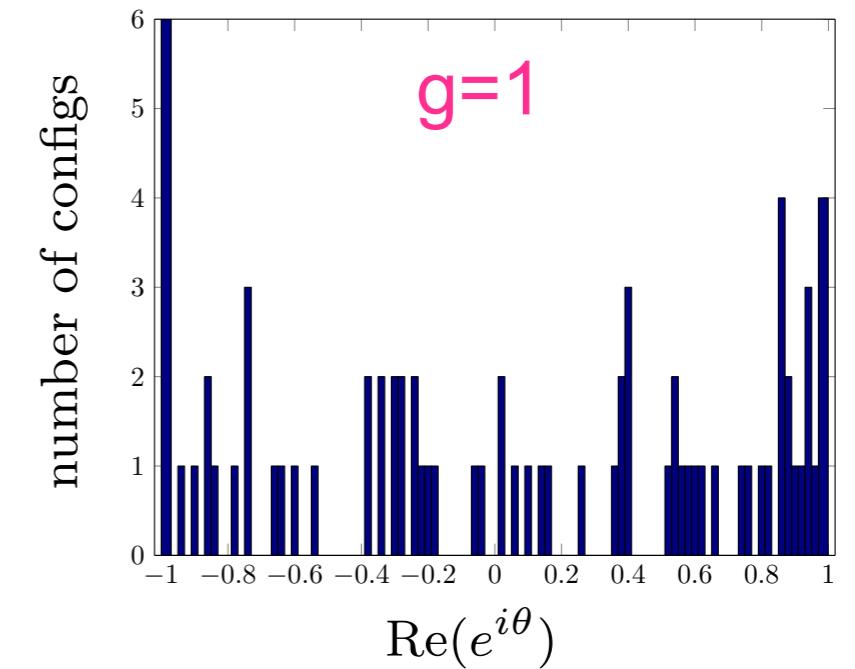
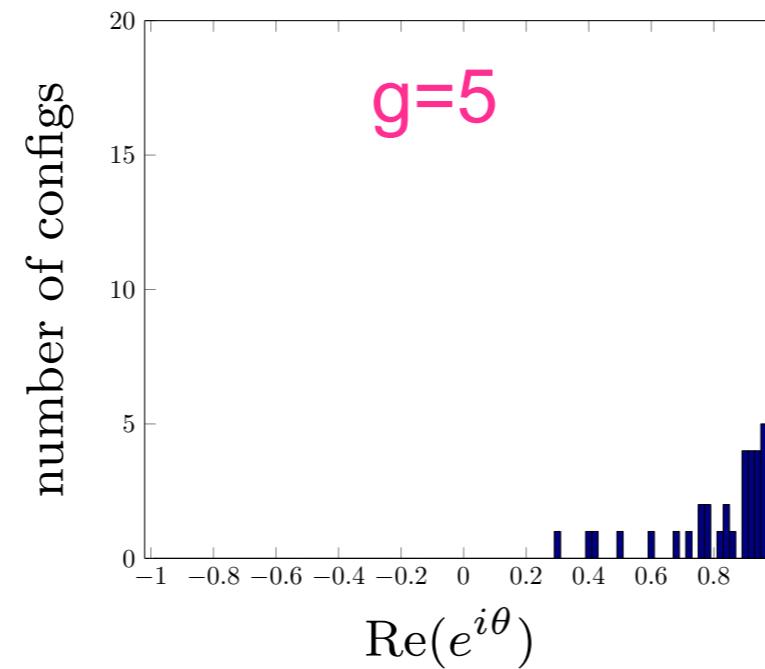
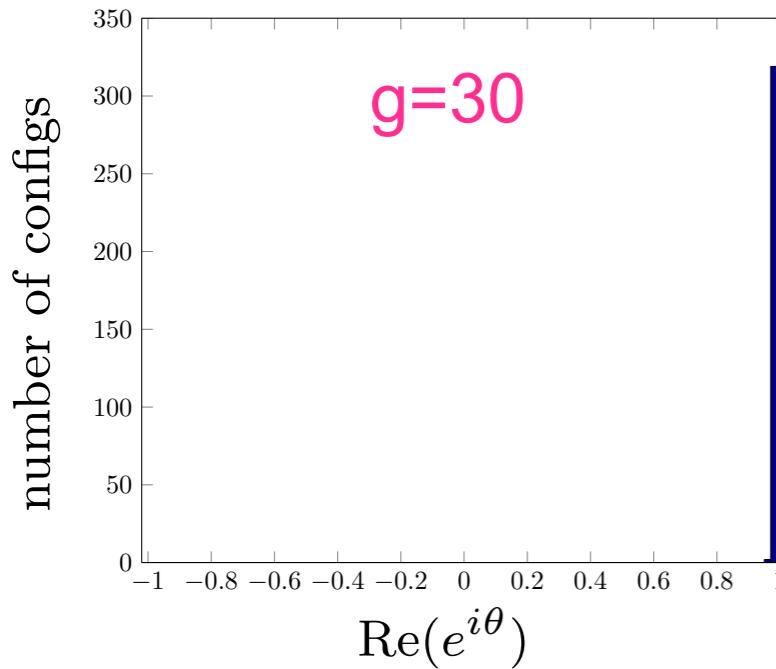
$$\begin{aligned}\langle \mathcal{A} \rangle &= \frac{\int D\Phi \mathcal{A} \text{Pf}(O_F) e^{-S[\Phi]}}{\int D\Phi \text{Pf}(O_F) e^{-S[\Phi]}} \\ &= \frac{\int D\Phi D\zeta D\bar{\zeta} \mathcal{A} e^{i\theta} e^{-S[\Phi] - \int d^2\xi \bar{\zeta} (\mathcal{O}_F \mathcal{O}_F^\dagger)^{-\frac{1}{4}} \zeta}}{\int D\Phi D\zeta D\bar{\zeta} e^{i\theta} e^{-S[\Phi] - \int d^2\xi \bar{\zeta} (\mathcal{O}_F \mathcal{O}_F^\dagger)^{-\frac{1}{4}} \zeta}} = \frac{\langle \mathcal{A} e^{i\theta} \rangle_{\theta=0}}{\langle e^{i\theta} \rangle_{\theta=0}}\end{aligned}$$

# Phase problem

Even with  $\text{Pf}(\mathcal{O}_F) = e^{i\theta} (O_F O_F^\dagger)^{\frac{1}{4}}$ , vev's can be still obtained via **reweighting**:

$$\begin{aligned}\langle \mathcal{A} \rangle &= \frac{\int D\Phi \mathcal{A} \text{Pf}(O_F) e^{-S[\Phi]}}{\int D\Phi \text{Pf}(O_F) e^{-S[\Phi]}} \\ &= \frac{\int D\Phi D\zeta D\bar{\zeta} \mathcal{A} e^{i\theta} e^{-S[\Phi] - \int d^2\xi \bar{\zeta} (\mathcal{O}_F \mathcal{O}_F^\dagger)^{-\frac{1}{4}} \zeta}}{\int D\Phi D\zeta D\bar{\zeta} e^{i\theta} e^{-S[\Phi] - \int d^2\xi \bar{\zeta} (\mathcal{O}_F \mathcal{O}_F^\dagger)^{-\frac{1}{4}} \zeta}} = \frac{\langle \mathcal{A} e^{i\theta} \rangle_{\theta=0}}{\langle e^{i\theta} \rangle_{\theta=0}}\end{aligned}$$

However, the phase averages to zero:



**Dedicated algorithms**: active field of study, no general proof of convergence.

# Alternative linearization

The phase is implicit in the linearization, like  $e^{-\frac{b^2}{4a}} = \int dx e^{-a x^2 + \textcolor{blue}{i} b x}$

Consider a simple SO(4) invariant four-fermion interaction

[Catterall 2015]

$$\mathcal{L}_{4F} = \frac{1}{2} \epsilon_{abcd} \psi^a(x) \psi^b(x) \psi^c(x) \psi^d(x) \equiv \Sigma^{ab} \tilde{\Sigma}^{ab}$$

where  $\Sigma^{ab} = \psi^a \psi^b$ ,  $\tilde{\Sigma}^{ab} = \frac{1}{2} \epsilon_{abcd} \psi^c \psi^d$ . Introducing  $\Sigma_{\pm}^{ab} = \frac{1}{2} (\Sigma^{ab} \pm \tilde{\Sigma}^{cd})$ , rewrite

$$\mathcal{L}_{4F} = \pm 2 \left( \Sigma_{\pm}^{ab} \right)^2$$

just exploiting the Grassmann character of the underlying fermions.

$$\begin{aligned}
 \pm \Sigma_{\pm}^{ab} \Sigma_{\pm}^{ab} &= \pm \frac{1}{4} \left[ \Sigma^{ab} \pm \frac{1}{2} \epsilon_{abcd} \tilde{\Sigma}^{cd} \right] \left[ \Sigma^{ab} \pm \frac{1}{2} \epsilon_{abef} \tilde{\Sigma}^{ef} \right] \\
 &= \pm \frac{1}{4} \left[ \cancel{\Sigma^{ab}} \cancel{\Sigma^{ab}} \pm \frac{1}{2} \epsilon_{abcd} (\cancel{\Sigma^{ab}} \cancel{\Sigma^{cd}} + \cancel{\Sigma^{cd}} \cancel{\Sigma^{ab}}) + \frac{1}{4} \epsilon_{abcd} \epsilon_{abef} \cancel{\Sigma^{cd}} \cancel{\Sigma^{ef}} \right] \\
 \text{since } \psi^a \psi^b \psi^a \psi^b = 0 &\quad = \frac{1}{4} \left( \Sigma^{ab} \tilde{\Sigma}^{ab} + \tilde{\Sigma}^{ab} \Sigma^{ab} \right) = \frac{1}{2} \Sigma^{ab} \tilde{\Sigma}^{ab}
 \end{aligned}$$

## Alternative linearization

In our case,  $(\rho^M)^{im}(\rho^M)^{kn} = 2\epsilon^{imkn}$

$$\mathcal{L}_{F4} = -\frac{1}{z^2}(\eta^2)^2 + \frac{1}{z^2} \left( i \eta_i (\rho^{MN})^i{}_j n^N \eta^j \right)^2$$

# Alternative linearization

In our case,  $(\rho^M)^{im}(\rho^M)^{kn} = 2\epsilon^{imkn}$ , we analogously rewrite

$$\mathcal{L}_{F4} = -\frac{1}{z^2}(\eta^2)^2 + \frac{1}{z^2}(i\eta_i(\rho^{MN})^i{}_j n^N \eta^j)^2$$

$$\Sigma_i{}^j = \eta_i \eta^j, \quad \tilde{\Sigma}_j{}^i = (\rho^N)^{ik} n_N (\rho^L)_{jl} n_L \eta_k \eta^l, \quad \Sigma_{\pm i}{}^j = \Sigma_i^j \pm \tilde{\Sigma}_i^j$$

Choosing the **good** sign  $(-)$ , new set of **1 + 16** real auxiliary fields

$$\mathcal{L}_{\text{aux}} = \frac{12}{z}\eta^2 \phi + 6\phi^2 + \frac{2}{z}\Sigma_{\pm j}{}^i \phi_i^j + \phi_j^i \phi_i^j \quad \mathcal{L}_{\text{aux}}^\dagger = \mathcal{L}_{\text{aux}}$$

Antisymmetry and  $\Gamma_5$ -hermiticity ( $\Gamma_5^\dagger \Gamma_5 = \mathbb{1}$ ,  $\Gamma_5^\dagger = -\Gamma_5$ )

$$O_F^\dagger = \Gamma_5 O_F \Gamma_5, \quad O_F^T = -O_F$$

ensure positive-definite **determinant**  $(\text{Pf} O_F)^2 = \det O_F \geq 0$ , and a **real Pfaffian**.

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ensure positive-definite **determinant**  $(\text{Pf} O_F)^2 = \det O_F \geq 0$ , and a **real Pfaffian**.

Gain in computational costs, but  $\text{Pf} O_F = \pm \sqrt{\det O_F}$ .

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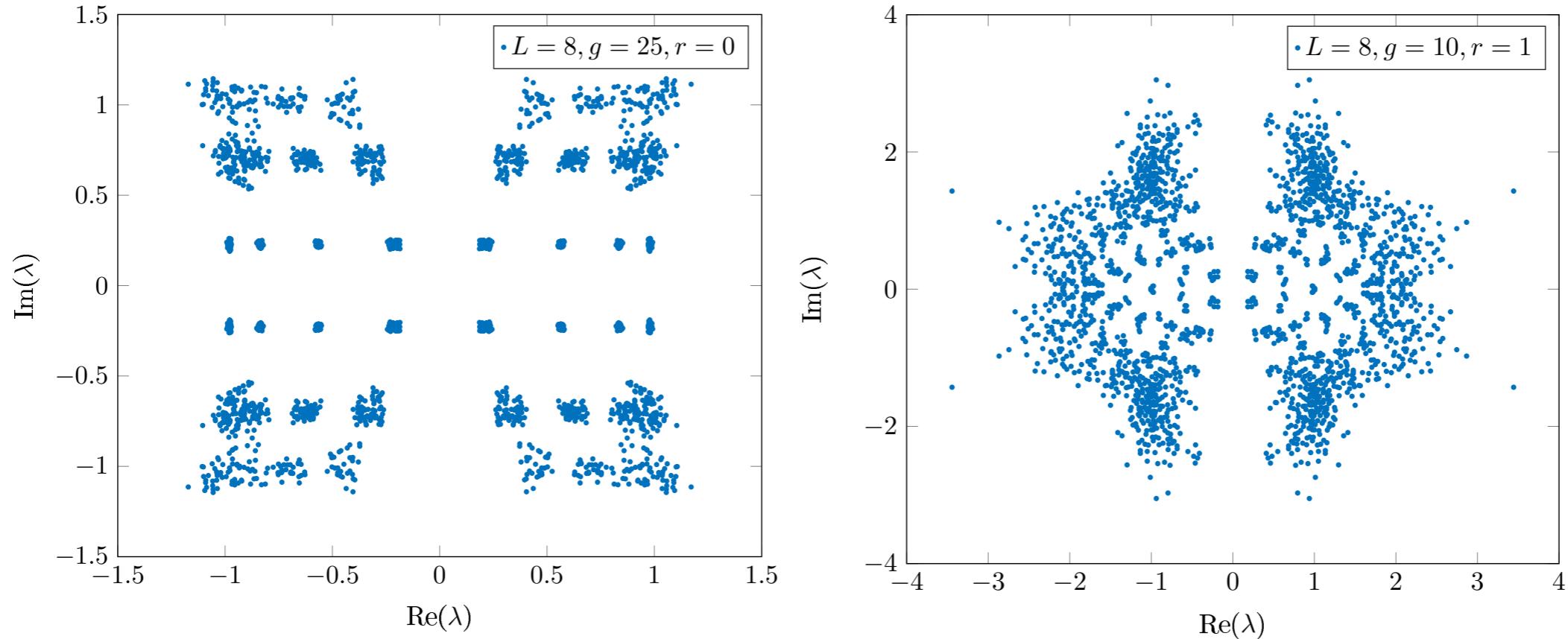
ensure positive-definite **determinant**  $(\text{Pf} O_F)^2 = \det O_F \geq 0$ , and a **real Pfaffian**.

In simpler models with four-fermion interactions, similar manipulations ensure a definite positive Pfaffian. There real, antisymmetric operator with **doubly degenerate** eigenvalues: quartets  $(ia, ia, -ia, -ia)$ ,  $a \in \mathbb{R}$ .

# Spectrum of $O_F$

From  $\Gamma_5$ -hermiticity and antisymmetry,

$$\begin{aligned}\mathcal{P}(\lambda) &= \det(O_F - \lambda \mathbb{1}) = \det(\Gamma_5 (O_F - \lambda \mathbb{1}) \Gamma_5) \\ &= \det(O_F^\dagger + \lambda \mathbb{1}) = \det(O_F + \lambda^* \mathbb{1})^* = \mathcal{P}(-\lambda^*)^*\end{aligned}$$



Spectrum characterized by **quartets**  $\{\lambda, -\lambda^*, -\lambda, \lambda^*\}$ .

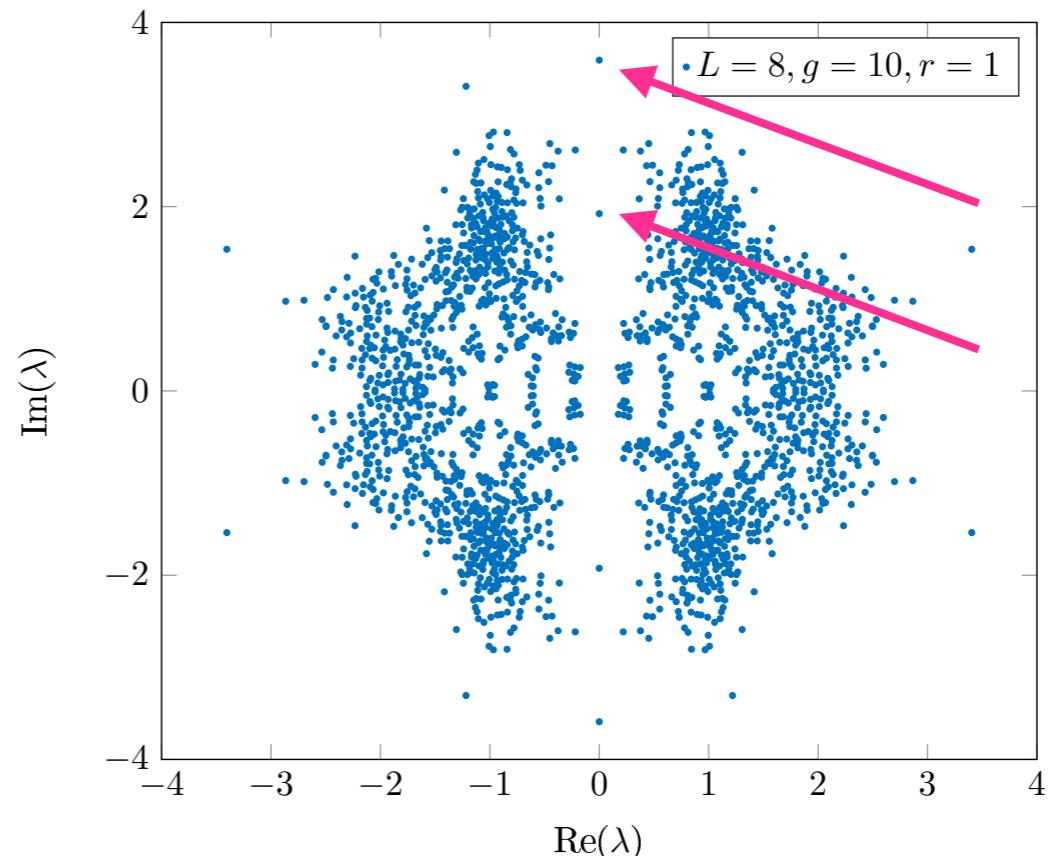
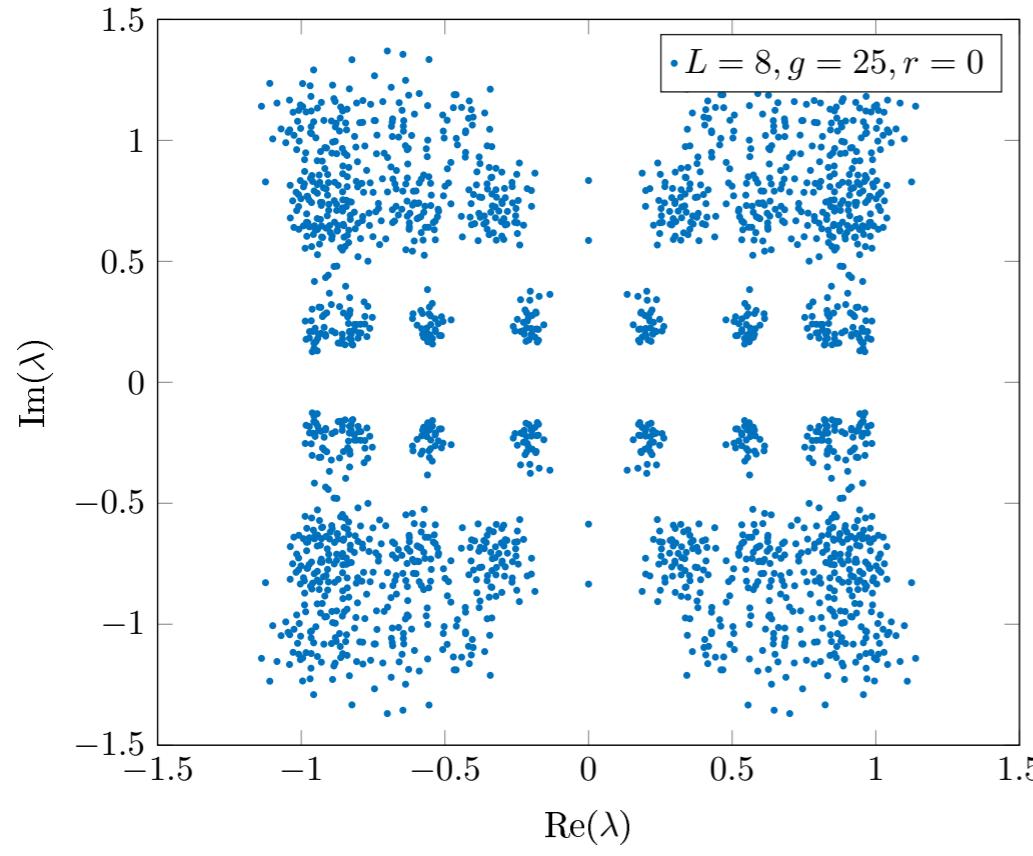
$$\det O_F = \prod_i |\lambda_i|^2 |\lambda_i|^2 \quad \longrightarrow \quad \text{Pf}(O_F) = \pm \prod_i |\lambda_i|^2$$

Choosing a starting configuration with positive Pfaffian, no sign change possible.

# Spectrum of $O_F$

From  $\Gamma_5$ -hermiticity and antisymmetry,

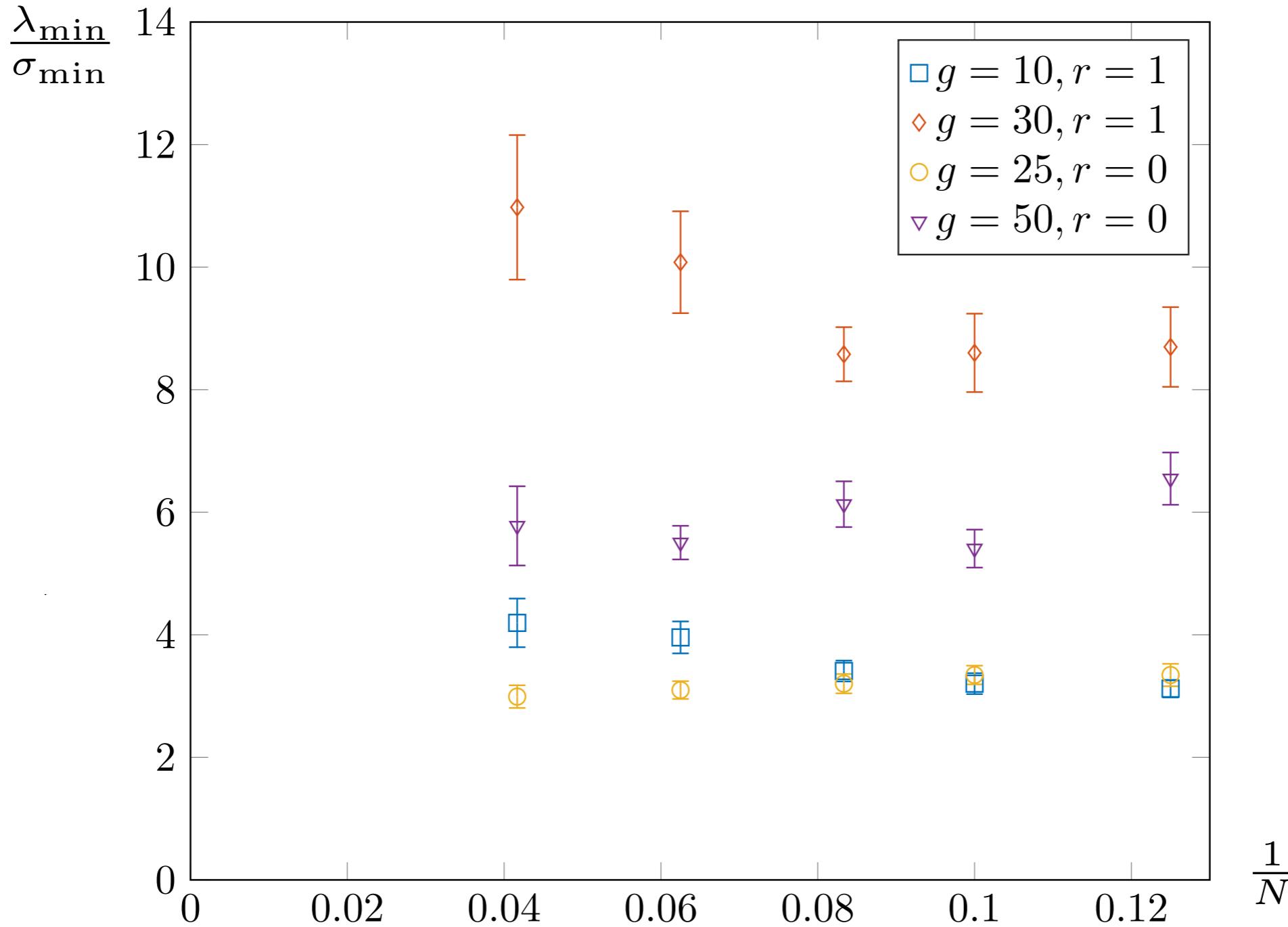
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For  $\lambda = \pm \lambda^*$ , no four-fold property: due to zero crossings, Pfaffian may change sign.

Purely imaginary eigenvalues correspond to Yukawa-terms, even those present in the original Lagrangian: no “suitable enough” choice of auxiliary fields.

# Where are we sign-problem free?



Eigenvalue distribution of fermionic operators well separated from zero,  
no sign problem for  $g \geq 10$ , where nonperturbative physics is captured.

# Discretization

# Guiding lines for discretization

- ▶ Lattice perturbation theory  $\xrightarrow{a \rightarrow 0}$  continuum perturbation theory
- ▶ Preserve the symmetries of the model
- ▶ No complex phases

# Guiding lines for discretization

- Lattice perturbation theory  $\xrightarrow{a \rightarrow 0}$  continuum perturbation theory

In the continuum, the free kinetic part of the fermionic operator

$$K_F = \begin{pmatrix} 0 & -p_0 \mathbb{1} & (p_1 - i \frac{m}{2}) \rho^M u_M & 0 \\ -p_0 \mathbb{1} & 0 & 0 & (p_1 - i \frac{m}{2}) \rho_M^\dagger u^M \\ -(p_1 + i \frac{m}{2}) \rho^M u_M & 0 & 0 & -p_0 \mathbb{1} \\ 0 & -(p_1 + i \frac{m}{2}) \rho_M^\dagger u^M & -p_0 \mathbb{1} & 0 \end{pmatrix}$$

gives the contribution  $\det K_F = \left( p_0^2 + p_1^2 + \frac{m^2}{4} \right)^8$  to the one-loop partition function

$$\Gamma^{(1)} = -\ln Z^{(1)} = \frac{V_2}{a^2} \frac{1}{2} \int_{-\pi}^{\pi} \frac{dp_0 dp_1}{(2\pi)^2} \ln \left[ \frac{(p_0^2 + p_1^2 + m^2)(p_0^2 + p_1^2 + \frac{m^2}{2})^2 (p_0^2 + p_1^2)^5}{(p_0^2 + p_1^2 + \frac{m^2}{4})^8} \right]$$

$$= -\frac{3 \ln 2}{8\pi} m^2 V_2$$

# Guiding lines for discretization

- ▶ Lattice perturbation theory  $\xrightarrow{a \rightarrow 0}$  continuum perturbation theory

A naive discretization  $p_\mu \rightarrow \overset{\circ}{p}_\mu \equiv \frac{1}{a} \sin(a p_\mu)$  leads to **fermion doublers**,

$$K_F = \begin{pmatrix} 0 & -\overset{\circ}{p}_0 \mathbb{1} & (\overset{\circ}{p}_1 - i \frac{m}{2}) \rho^M u_M & 0 \\ -\overset{\circ}{p}_0 \mathbb{1} & 0 & 0 & (\overset{\circ}{p}_1 - i \frac{m}{2}) \rho_M^\dagger u^M \\ -( \overset{\circ}{p}_1 + i \frac{m}{2}) \rho^M u_M & 0 & 0 & -\overset{\circ}{p}_0 \mathbb{1} \\ 0 & -(\overset{\circ}{p}_1 + i \frac{m}{2}) \rho_M^\dagger u^M & -\overset{\circ}{p}_0 \mathbb{1} & 0 \end{pmatrix}$$

spoiling UV finiteness (effective 2d supersymmetry).

# A Wilson-like fermion discretization

- Lattice perturbation theory  $\xrightarrow{a \rightarrow 0}$  continuum perturbation theory
- Preserve  $SO(6)$ , breaks  $U(1) \sim SO(2)$
- No complex phases:  $(O_F^W)^\dagger = \Gamma_5 O_F^W \Gamma_5$ ,  $(O_F^W)^T = -O_F^W$

Add to the action a “Wilson term”,  $K_F + W \equiv K_F^W$

$$K_F^W = \begin{pmatrix} W_+ & -\overset{\circ}{p_0} \mathbb{1} & (\overset{\circ}{p_1} - i \frac{m}{2}) \rho^M u_M & 0 \\ -\overset{\circ}{p_0} \mathbb{1} & -W_+^\dagger & 0 & (\overset{\circ}{p_1} - i \frac{m}{2}) \rho_M^\dagger u^M \\ -(p_1^\circ + i \frac{m}{2}) \rho^M u_M & 0 & W_- & -\overset{\circ}{p_0} \mathbb{1} \\ 0 & -(p_1^\circ + i \frac{m}{2}) \rho_M^\dagger u^M & -\overset{\circ}{p_0} \mathbb{1} & -W_-^\dagger \end{pmatrix}$$

where  $W_\pm = \frac{r}{2} (\hat{p}_0^2 \pm i \hat{p}_1^2) \rho^M u_M$ ,  $|r| = 1$ , and  $\hat{p}_\mu \equiv \frac{2}{a} \sin \frac{p_\mu a}{2}$ , leads to

$$\Gamma_{\text{LAT}}^{(1)} = \frac{V_2}{2a^2} \int_{-\pi}^{\pi} \frac{d^2 p}{(2\pi)^2} \ln \left[ \frac{4^8 (\sin^2 \frac{p_0}{2} + \sin^2 \frac{p_1}{2})^5 (\sin^2 \frac{p_0}{2} + \sin^2 \frac{p_1}{2} + \frac{M^2}{8})^2 (\sin^2 \frac{p_0}{2} + \sin^2 \frac{p_1}{2} + \frac{M^2}{4})}{(\sin^2 p_0 + \sin^2 p_1 + \frac{M^2}{4} + 4 \sin^4 \frac{p_0}{2} + 4 \sin^4 \frac{p_1}{2})^8} \right]$$

$$\xrightarrow{a \rightarrow 0} -\frac{3 \ln 2}{8\pi} V_2 m^2, \text{ cusp anomaly at strong coupling } (|r| = 1, M = m a.)$$

# Simulations, continuum limit: measurements

# Parameter space, continuum limit ( $a \rightarrow 0$ )

- ▶ Two bare parameters,  $g = \frac{\sqrt{\lambda}}{4\pi}$  and  $P^+ \sim m$ , assume the only additional scale is  $a$

$$F_{\text{LAT}} = F_{\text{LAT}}(g, M, N) \quad M = m a, \quad N = \frac{L}{a}$$

- ▶ The continuum limit must be taken along a **line of constant physics**: curve in  $\{g, M, N\}$  where **physical** quantities are kept fixed as  $a \rightarrow 0$ .

E.g.  $m_x^2 = \frac{m^2}{2} \left( 1 - \frac{1}{8g} + \mathcal{O}(g^{-2}) \right)$

$$L^2 m_x^2 = \text{const} \quad \longrightarrow \quad (L m)^2 \equiv (NM)^2 = \text{const}.$$

- ▶ For a generic observable

finite lattice spacing  
( $\sim a$ ) effects      finite volume  
( $\sim m L$ ) effects

$$F_{\text{LAT}} = F_{\text{LAT}}(g, M, N) = F(g) + \mathcal{O}\left(\frac{1}{N}\right) + \mathcal{O}\left(e^{-MN}\right)$$

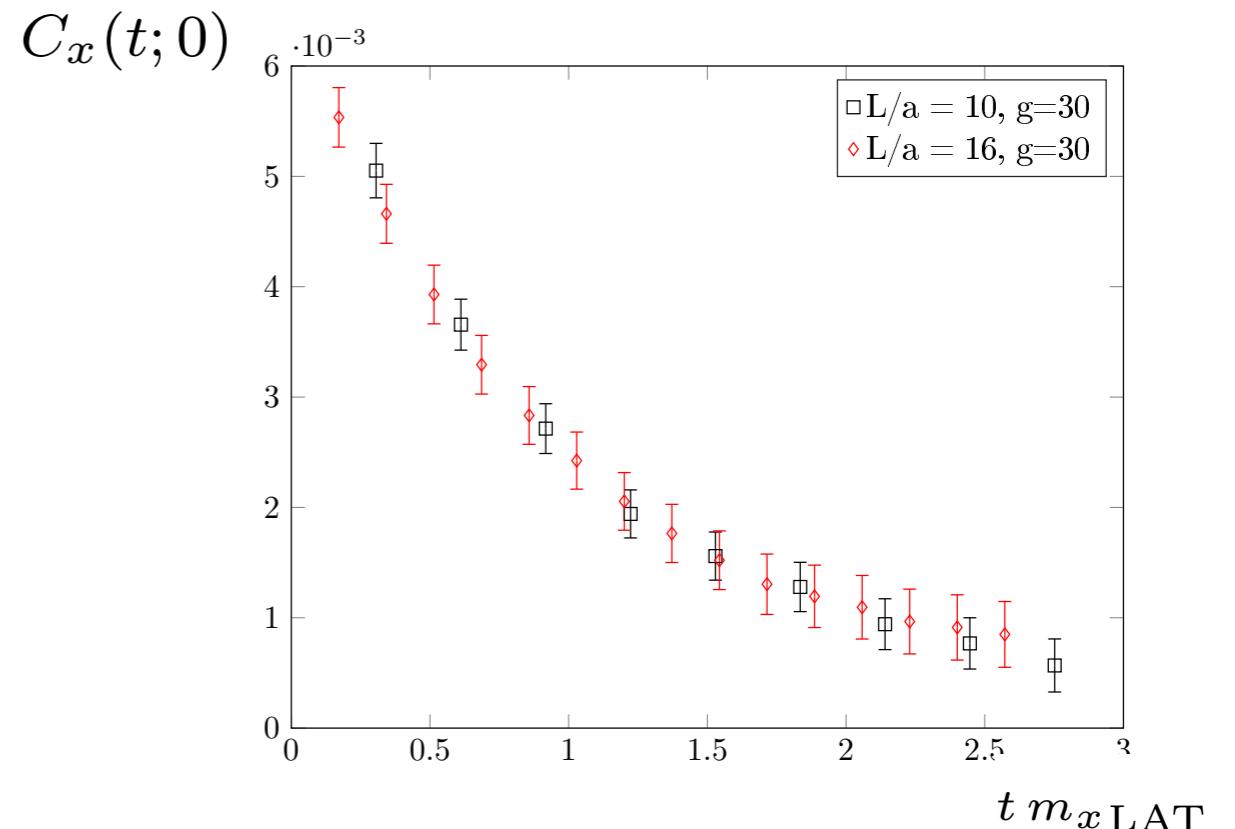
Recipe: fix  $g$ , fix  $MN$  large enough, evaluate  $F_{\text{LAT}}$  for  $N = 6, 8, 10, 12, 16, \dots$ ;  
Obtain  $F(g)$  extrapolating to  $N \rightarrow \infty$ .

# Measurement I: $\langle x, x^* \rangle$ correlator

From the correlator of the  $x$  fields

$$C_x(t; 0) = \sum_{s_1, s_2} \langle x(t, s_1) x^*(0, s_2) \rangle$$

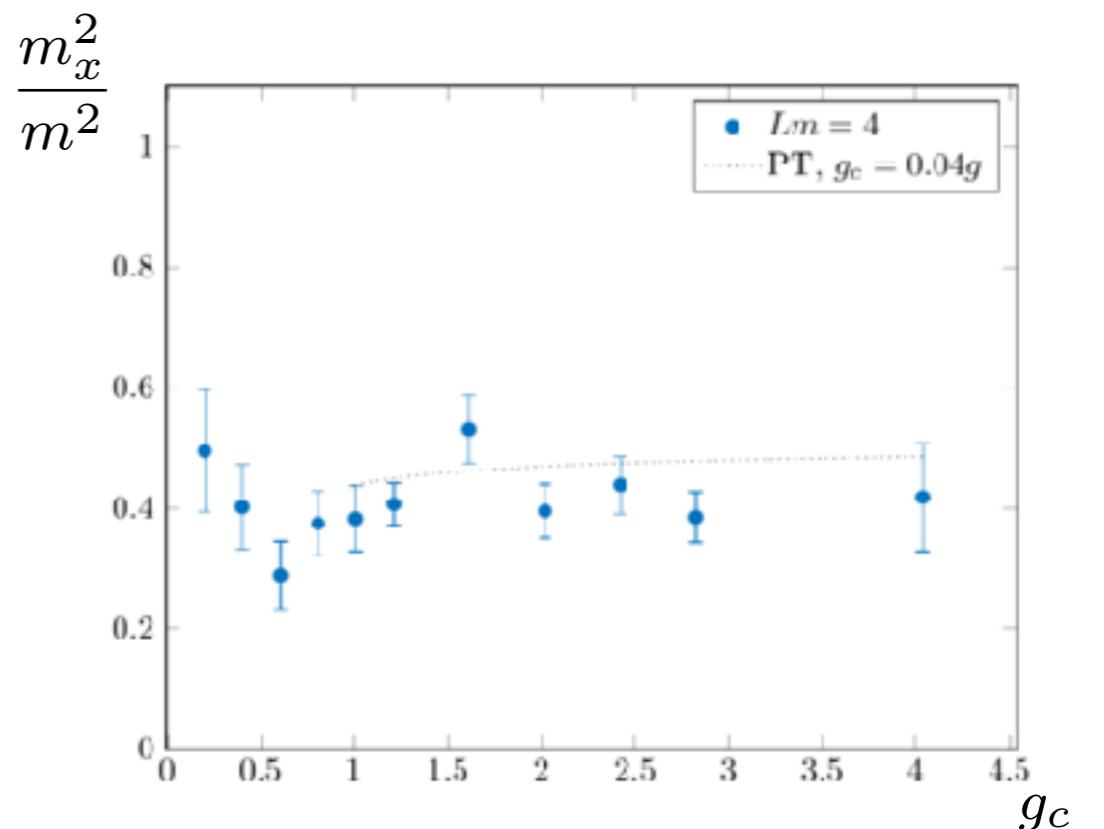
$$\underset{t \gg 1}{\sim} e^{-t m_x \text{LAT}}$$



extract the  $x$ -mass

$$m_{x \text{LAT}} = \lim_{t \rightarrow \infty} m_x^{\text{eff}}$$

$$\equiv \lim_{t \rightarrow \infty} \frac{1}{a} \log \frac{C_x(t; 0)}{C_x(t + a; 0)}$$

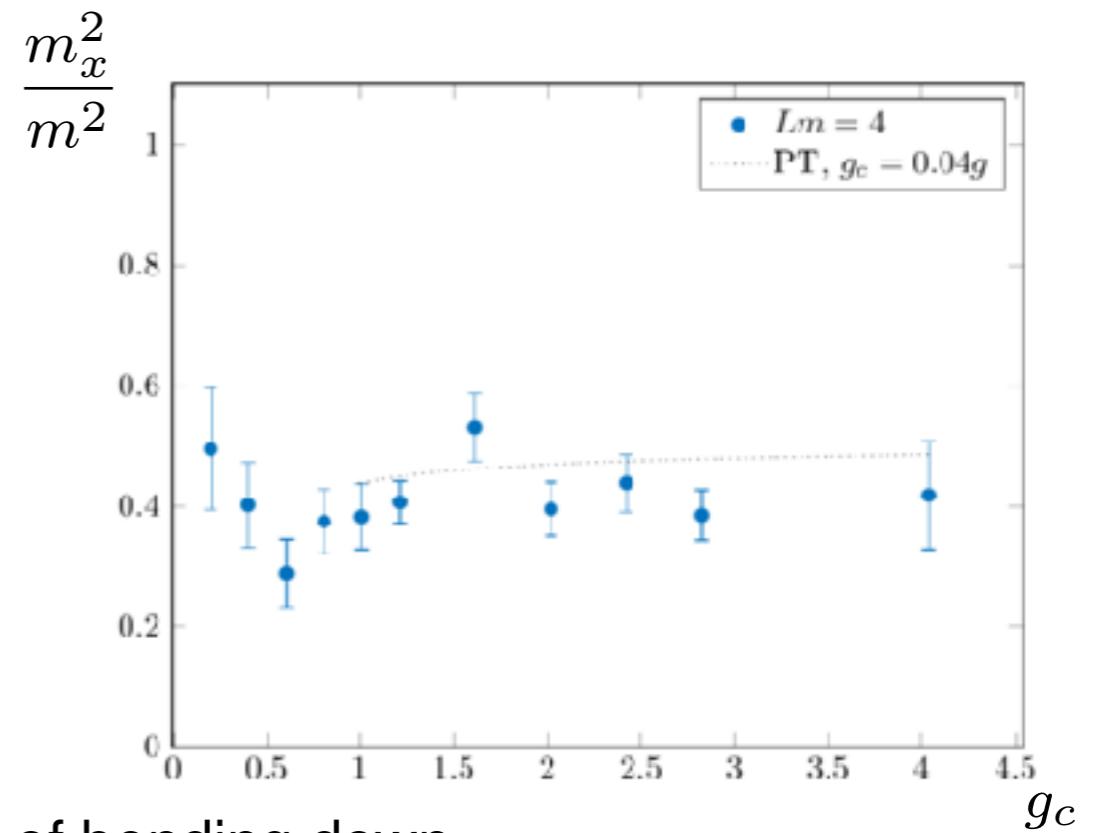
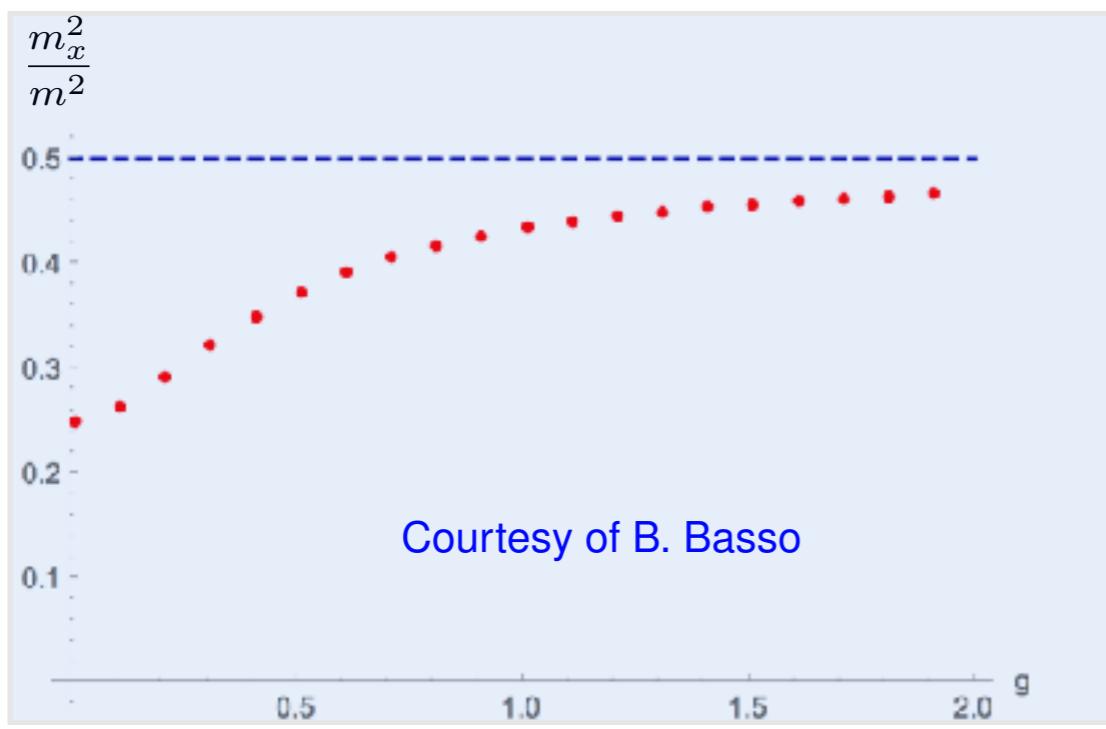
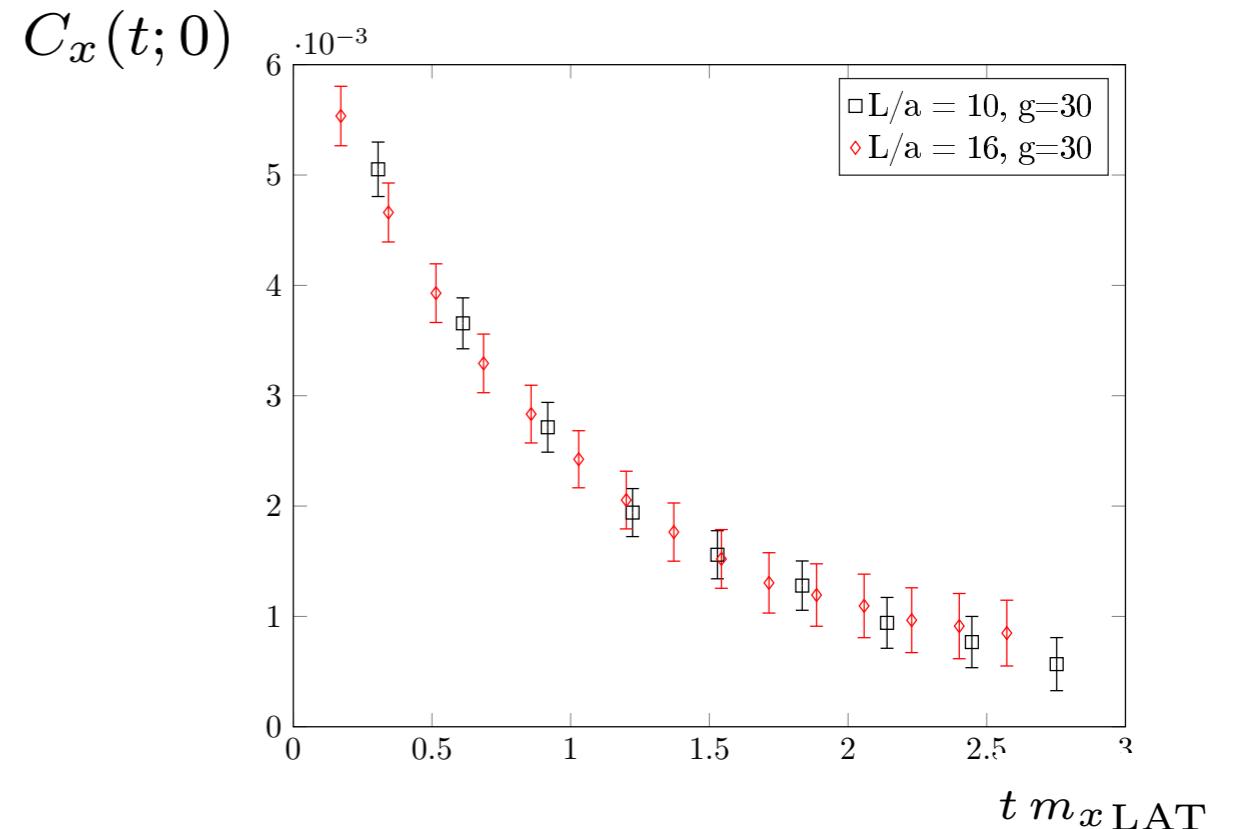


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$$\underset{t \gtrsim 1}{\sim} e^{-t m_x \text{LAT}}$$



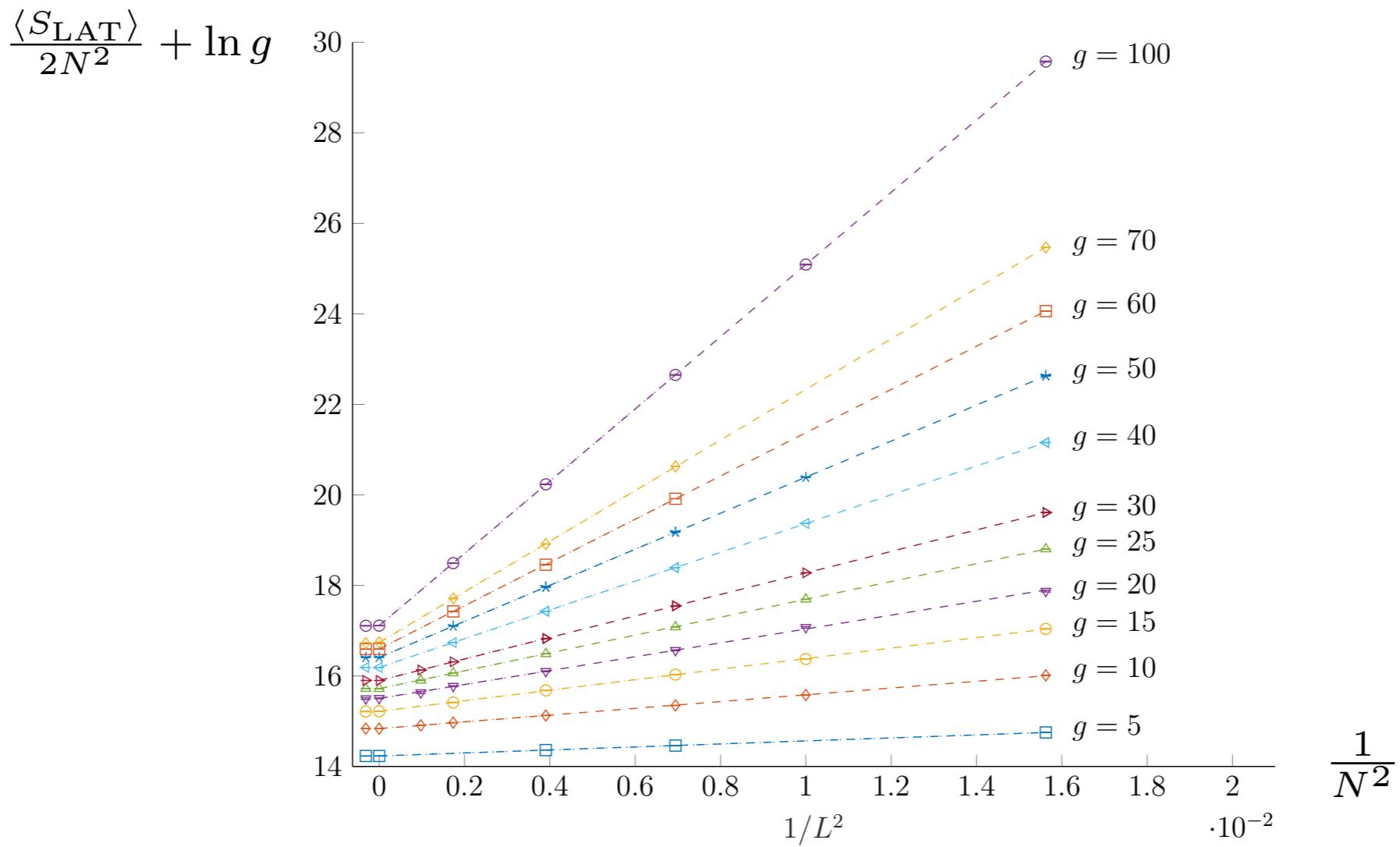
Consistent with large  $g$  prediction, no clear signal of bending down.  
No infinite renormalization occurring.

## Measurement II: (derivative of the) cusp anomaly

We measure  $\langle S_{\text{cusp}} \rangle \equiv g \frac{V_2 m^2}{8} f'(g)$ . At large  $g$ ,

$$\langle S_{\text{LAT}} \rangle \equiv g \frac{N^2 M^2}{4} 4 + \frac{c}{2}(2N^2)$$

quadratic divergences appear, with  $c = n_{\text{bos}} = 8 + 17 = 25$ .

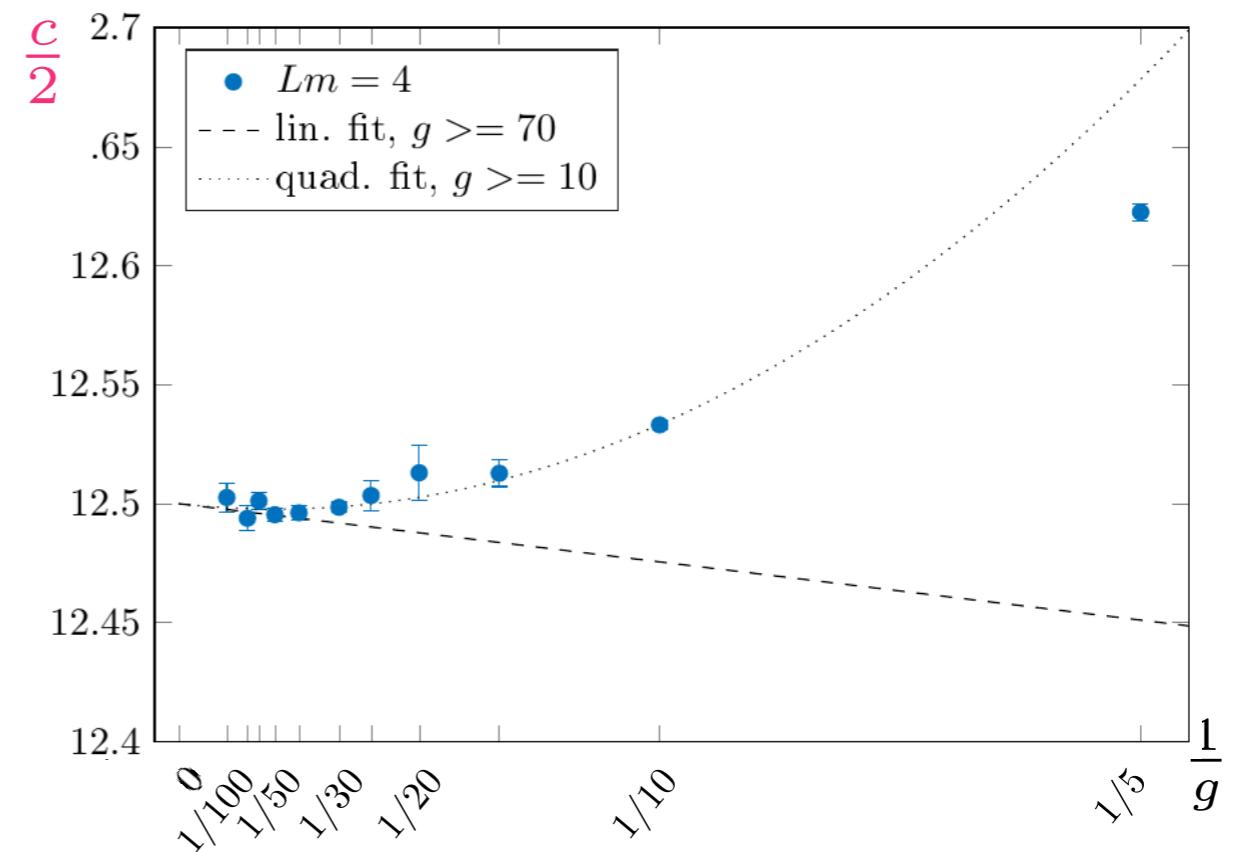
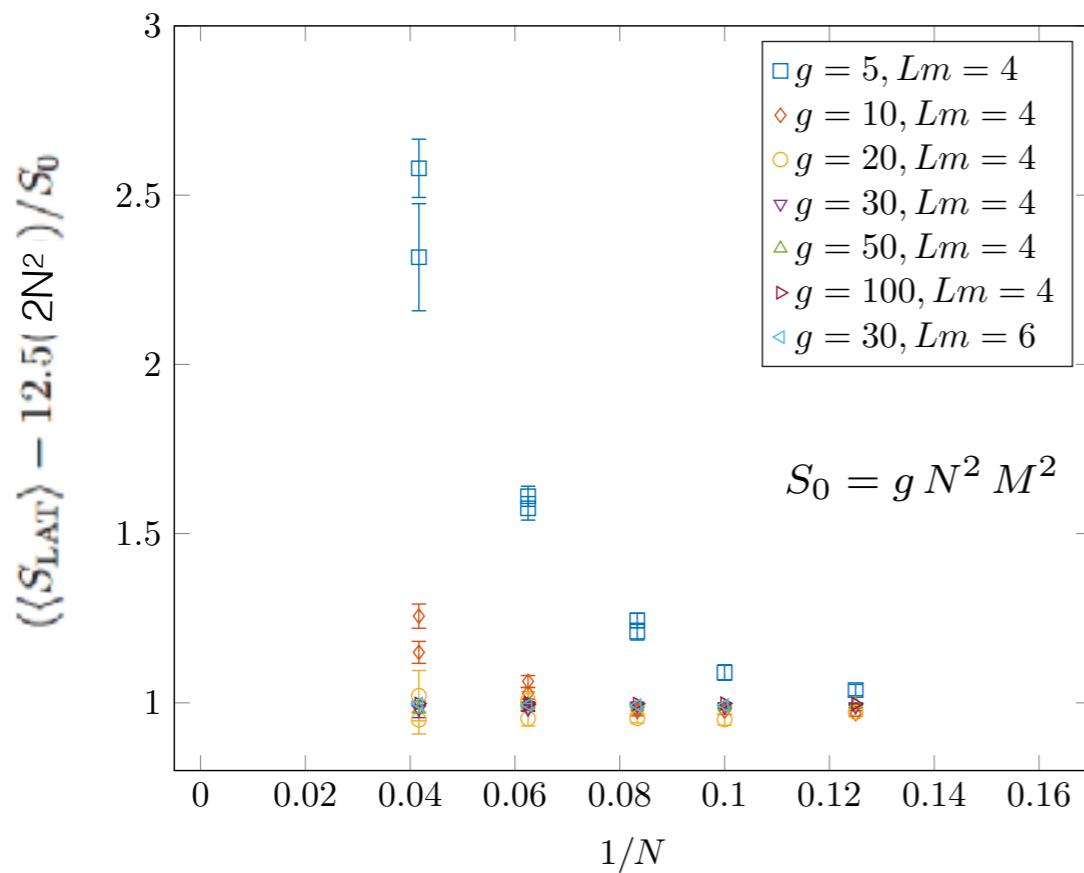


Indeed,  $\langle S \rangle = -\frac{\partial \ln Z}{\partial \ln g}$  and  $Z \sim \Pi n_{\text{bos}} (\det g \mathcal{O})^{-\frac{1}{2}}$ , so for each bosonic species there is a factor  $\sim g^{-\frac{(2N^2)}{2}}$ . In lattice codes, coupling omitted from fermionic part.

## Measurement II: (derivative of the) cusp anomaly

We measure  $\langle S_{\text{cusp}} \rangle \equiv g \frac{V_2 m^2}{8} f'(g)$ . At finite  $g$ ,

$$\langle S_{\text{LAT}} \rangle \equiv g \frac{N^2 M^2}{4} f'_{\text{LAT}}(g) + \frac{c(g)}{2}(2N^2)$$



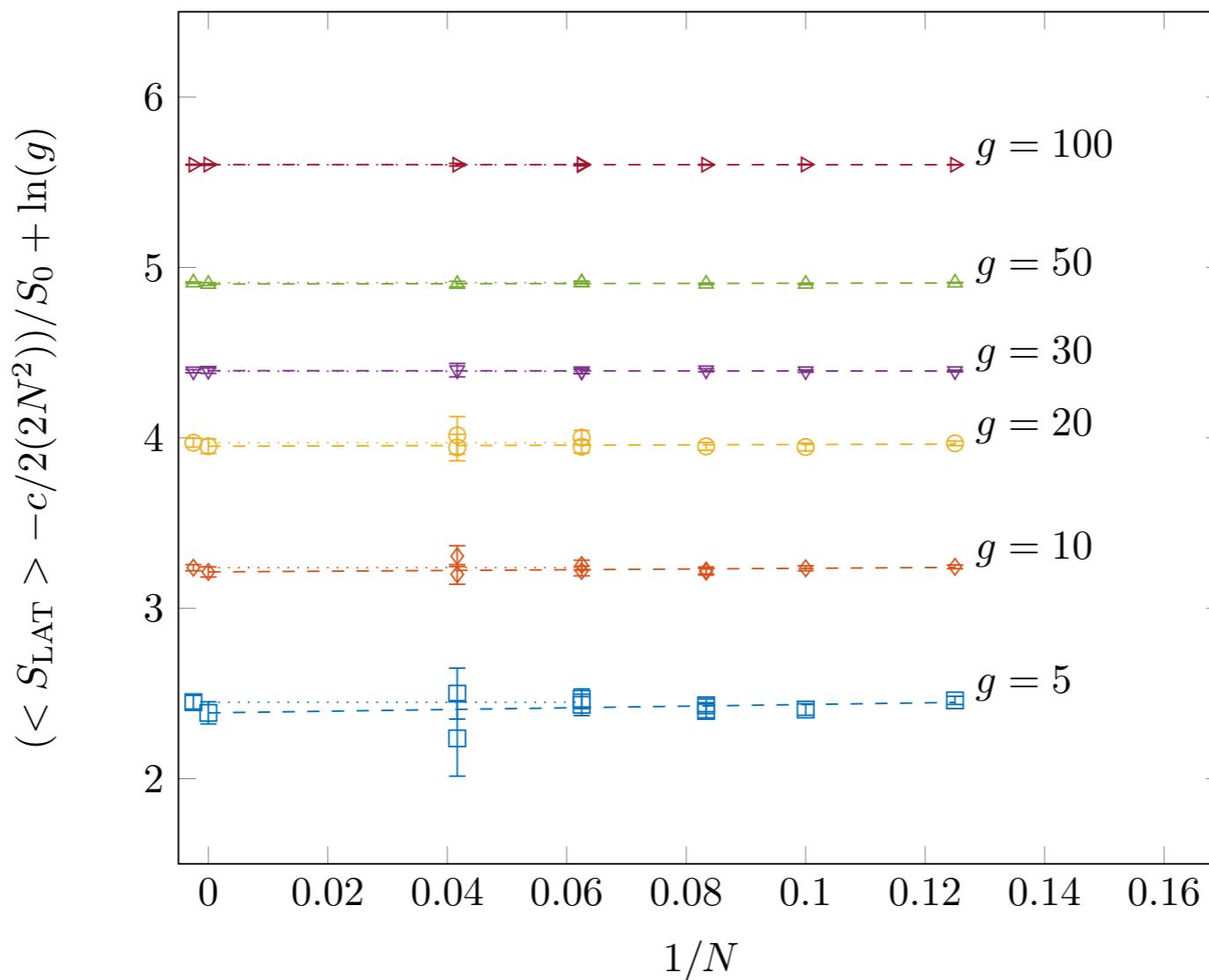
In continuum, existing power divergences are set to zero (dim. reg.)

Here, expected mixing of the Lagrangian with lower dimension operator

$$\mathcal{O}(\phi(s))_r = \sum_{\alpha: [\mathcal{O}_\alpha] \leq D} Z_\alpha \mathcal{O}_\alpha(\phi(x)), \quad Z_\alpha \sim \Lambda^{(D - [\mathcal{O}_\alpha])} \sim a^{-(D - [\mathcal{O}_\alpha])}$$

## Measurement II: (derivative of the) cusp anomaly

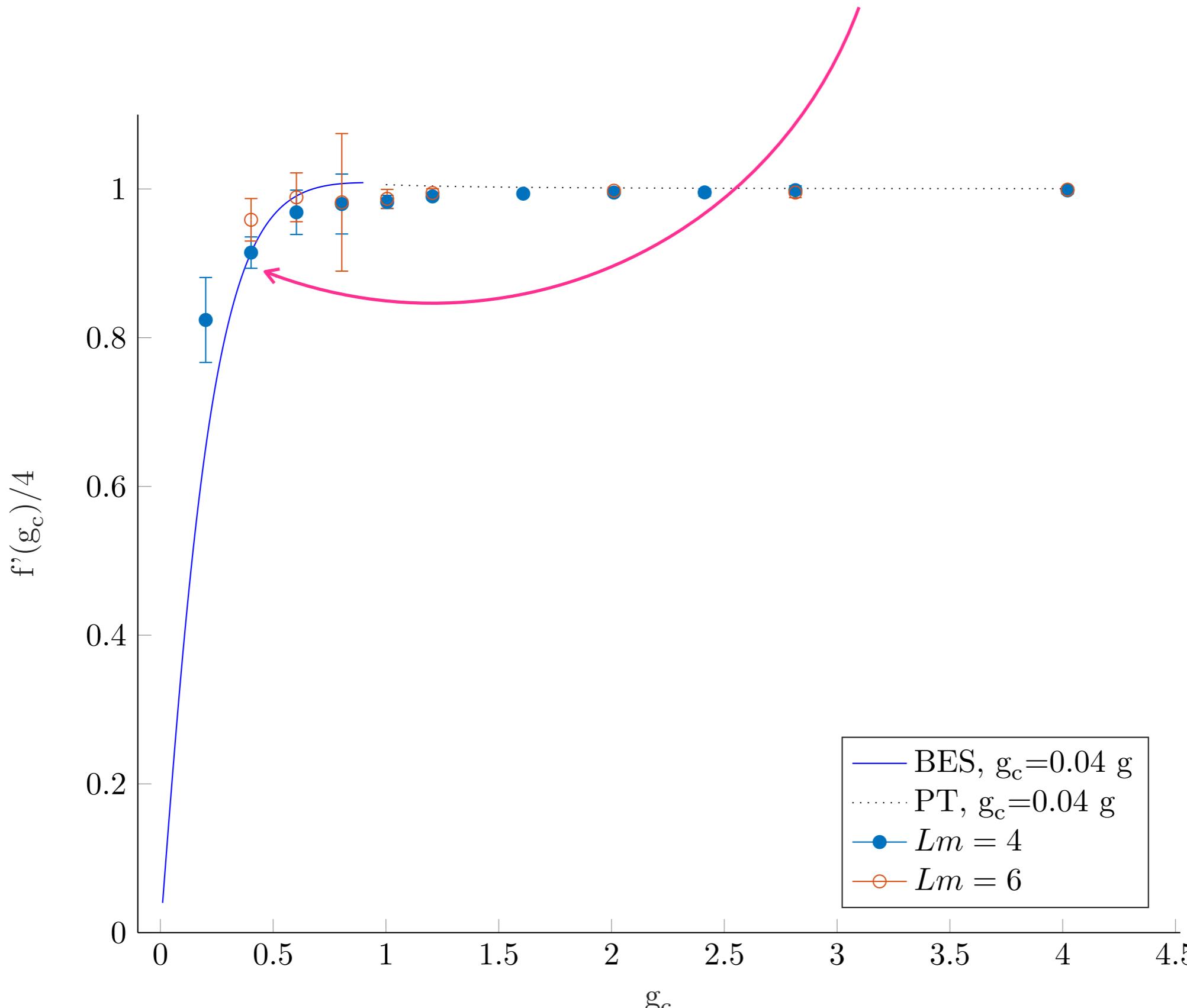
We proceed **subtracting** the continuum extrapolation of  $\frac{c}{2}$  multiplied by  $N^2$ : divergences appear to be completely subtracted, confirming their quadratic nature. Errors are small, and do not diverge for  $N \rightarrow \infty$ . Flatness of data points indicates very small lattice artifacts.



We can thus extrapolate at infinite  $N$  to show the continuum limit.

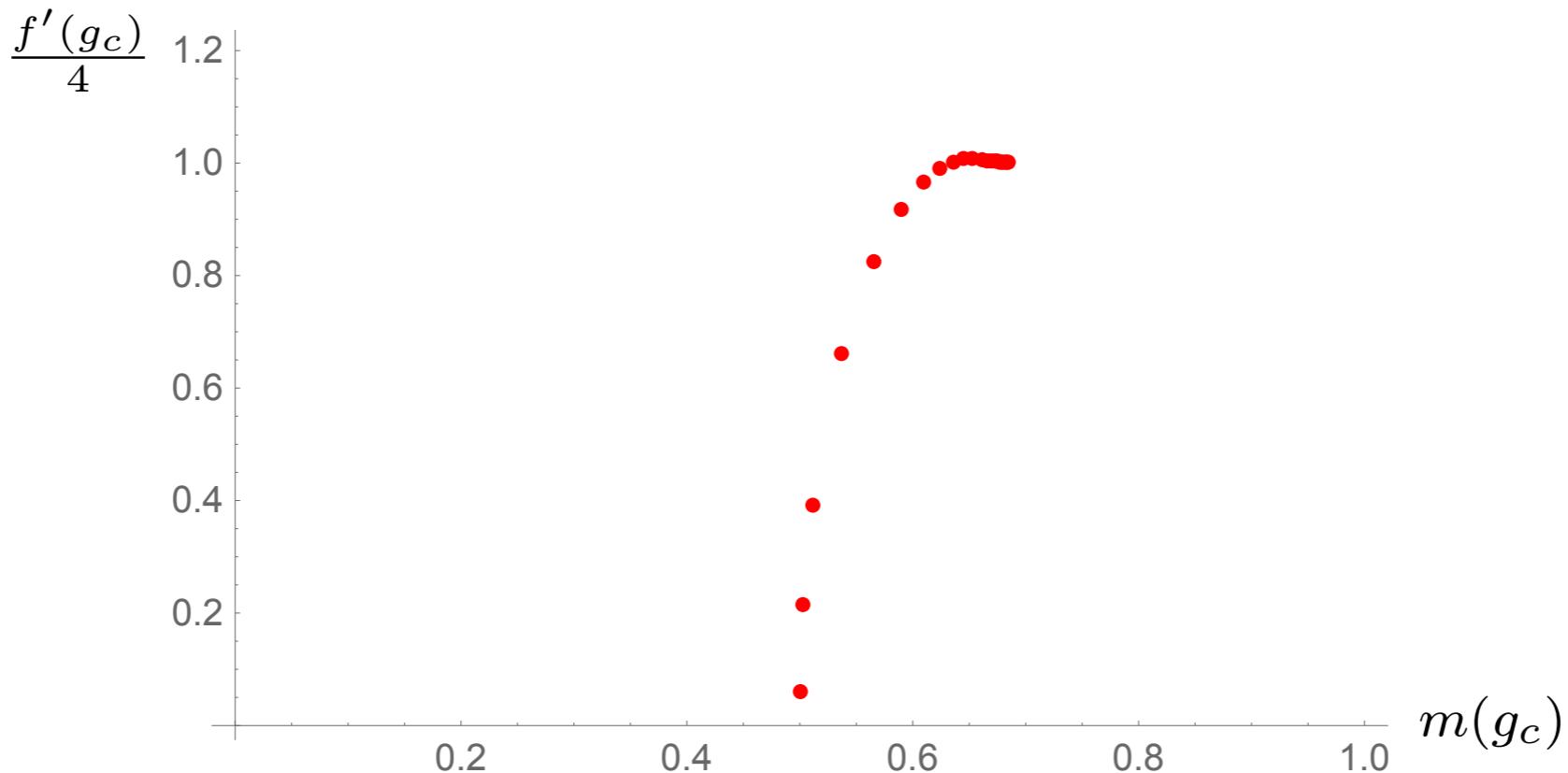
## Measurement II: (derivative of the) cusp anomaly

To compare, assume  $g = \alpha g_c$ : then from  $f'(g) = f'(g_c)_c$  is  $g_c = 0.04g$ .



## In progress

- ▶ The relation among  $g_c$  and  $g$  may be non-trivial. Then the cusp may be “declared” as the coupling, and e.g. mass measurements plotted against it.



- ▶ We are observing an unexpected splitting in the fermionic masses ( $m_F^2 = \frac{1}{2}$ ) related to the  $U(1)$ -breaking of the discretization.  
The corresponding Ward identity may be used as renormalization condition,  
a single tuning is expected.
- ▶ We are extending our simulations to  $g \leq 5$ .

# On the CFT side

Strong **sign problem** at strong coupling ( $\lambda \gg 1$ ), one **tuning**.

The control is in the **perturbative** region (matching with NNLO).

Courtesy of David Schaich

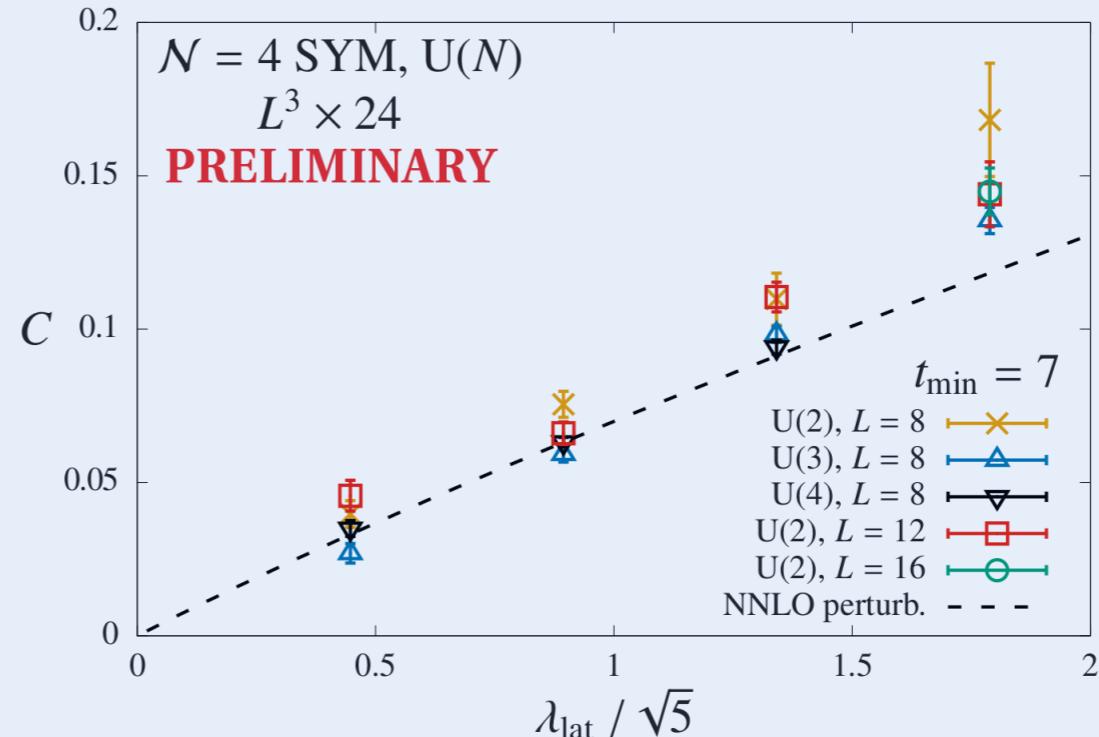
## Coupling dependence of Coulomb coefficient

Fit  $V(r)$  to Coulombic or confining form

$$V(r) = A - C/r$$

$$V(r) = A - C/r + \sigma r$$

$C$  is Coulomb coefficient  
 $\sigma$  is string tension



$V(r)$  is Coulombic at all  $\lambda$ :  
fits to confining form produce vanishing string tension

$C$  for  $U(4)$  in good agreement with perturbation theory for  $\lambda \lesssim 3/\sqrt{5}$

$U(2)$  and  $U(3)$  results less stable — working on further improvements

# Conclusions

Solving a non-trivial 4d QFT is **hard** —→ reduce the problem via AdS/CFT:  
solve (finding a good regulator for) a non-trivial 2d QFT.

- ▶ I presented a study of **lattice field theory methods** for *gauge-fixed* string  $\sigma$ -models relevant in AdS/CFT: address **ab initio**, non-perturbative calculations within them.
  - ▶ The model – GS string on GKP vacuum – is **amenable** to study using standard techniques (Wilson-like fermion discretizations, RHMC algorithm).
  - ▶ We observe **good agreement** with expectation **at large  $g$** , and indications of **non-perturbative** physics;

Ongoing work on several open questions, which include the proper continuum limit.

- ▶ Future: different backgrounds/gauge-fixing/observables . . .
- ▶ Non-perturbative definition of string theory?  
For sure, suitable framework for first principle statements (proofs of AdS/CFT) and (potentially) very efficient tool in numerical holography.

Thanks for your attention.

# Extra-slides

# Numerical setup

- > Rational Hybrid Mont-Carlo
- > Conjugate Gradient multi-shift solver
- > Fortran and Matlab code

The rational approximation for the inverse fractional power is of degree 15  
 (accuracy is always better than  $10^{-3}$ ).

$g$	$T/a \times L/a$	$Lm$	$am$	$\tau_{\text{int}}^S$	$\tau_{\text{int}}^{m_x}$	statistics [MDU]
5	$16 \times 8$	4	0.50000	0.8	2.2	900
	$20 \times 10$	4	0.40000	0.9	2.6	900
	$24 \times 12$	4	0.33333	0.7	4.6	900,1000
	$32 \times 16$	4	0.25000	0.7	4.4	850,1000
	$48 \times 24$	4	0.16667	1.1	3.0	92,265
10	$16 \times 8$	4	0.50000	0.9	2.1	1000
	$20 \times 10$	4	0.40000	0.9	2.1	1000
	$24 \times 12$	4	0.33333	1.0	2.5	1000,1000
	$32 \times 16$	4	0.25000	1.0	2.7	900,1000
	$48 \times 24$	4	0.16667	1.1	3.9	594,564
20	$16 \times 8$	4	0.50000	5.4	1.9	1000
	$20 \times 10$	4	0.40000	9.9	1.8	1000
	$24 \times 12$	4	0.33333	4.4	2.0	850
	$32 \times 16$	4	0.25000	7.4	2.3	850,1000
	$48 \times 24$	4	0.16667	8.4	3.6	264,580
30	$20 \times 10$	6	0.60000	1.3	2.9	950
	$24 \times 12$	6	0.50000	1.3	2.4	950
	$32 \times 16$	6	0.37500	1.7	2.3	975
	$48 \times 24$	6	0.25000	1.5	2.3	533,652
	$16 \times 8$	4	0.50000	1.4	1.9	1000
	$20 \times 10$	4	0.40000	1.2	2.7	950
	$24 \times 12$	4	0.33333	1.2	2.1	900
	$32 \times 16$	4	0.25000	1.3	1.8	900,1000
	$48 \times 24$	4	0.16667	1.3	4.3	150
50	$16 \times 8$	4	0.50000	1.1	1.8	1000
	$20 \times 10$	4	0.40000	1.2	1.8	1000
	$24 \times 12$	4	0.33333	0.8	2.0	1000
	$32 \times 16$	4	0.25000	1.3	2.0	900,1000
	$48 \times 24$	4	0.16667	1.2	2.3	412
100	$16 \times 8$	4	0.50000	1.4	2.7	1000
	$20 \times 10$	4	0.40000	1.4	4.2	1000
	$24 \times 12$	4	0.33333	1.3	1.8	1000
	$32 \times 16$	4	0.25000	1.3	2.0	950,1000
	$48 \times 24$	4	0.16667	1.4	2.4	541

Table 1: Parameters of the simulations: the coupling  $g$ , the temporal ( $T$ ) and spatial ( $L$ ) extent of the lattice in units of the lattice spacing  $a$ , the line of constant physics fixed by  $Lm$  and the mass parameter  $M = am$ . The size of the statistics after thermalization is given in the last column in terms of Molecular Dynamic Units (MDU), which equals an HMC trajectory of length one. In the case of multiple replica the statistics for each replica is given separately. The auto-correlation times  $\tau$  of our main observables  $m_x$  and  $S$  are also given in the same units.

# Boundary conditions

Fluctuations must vanish at the AdS boundary (two sides of the grid)

$$\tilde{X}(t = -\infty, s) = 0 = \tilde{X}(t, s = +\infty)$$

and be free to fluctuate elsewhere. Field redefinitions adopted in the continuum lead to exotic (unstable) boundary conditions.

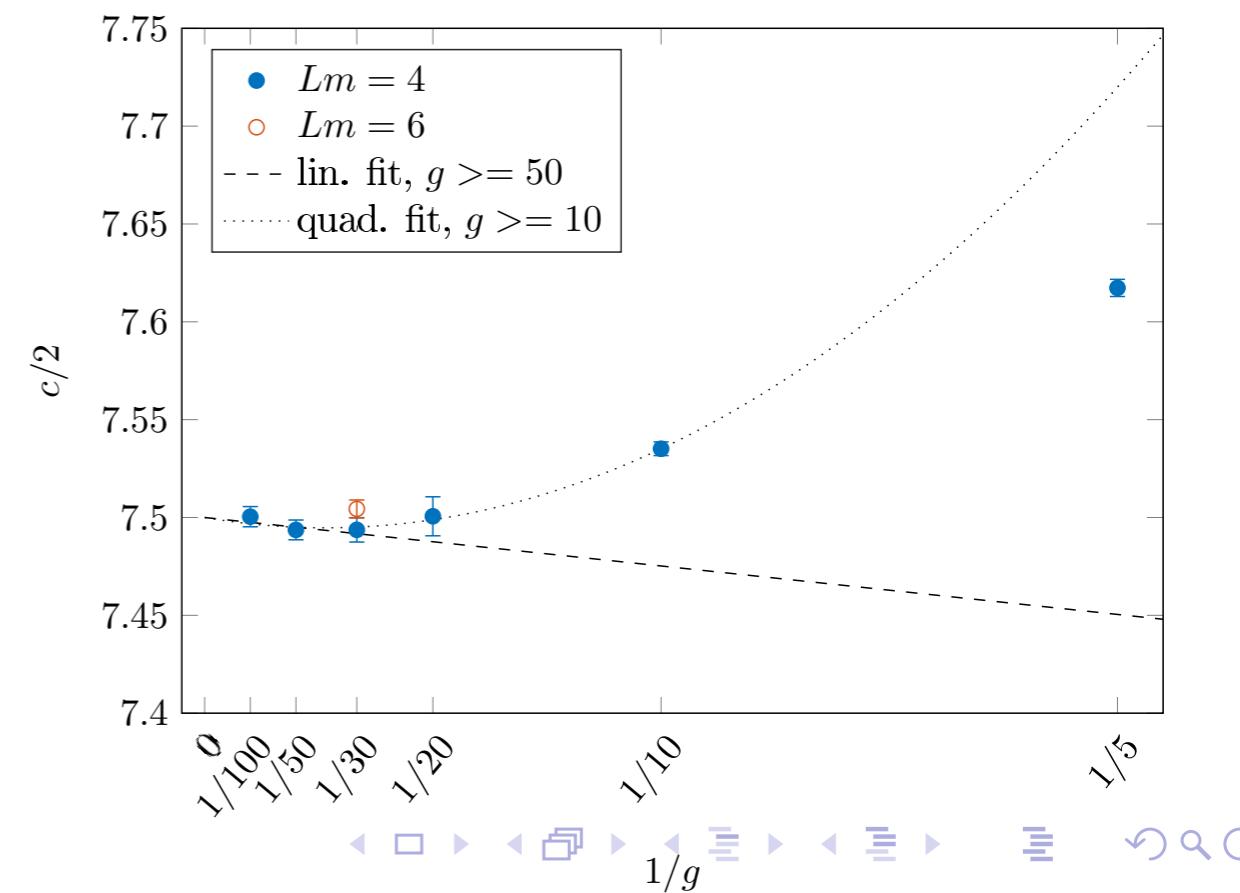
So far we used [periodic BC](#) for all the fields (antiperiodic temporal BC for fermions).

and evaluated finite volume effects  $\sim e^{-m L} \equiv e^{-M N}$ .

Most runs are done at  $M N = 4$  ( $e^{-4} \simeq 0.02$ ),

some at  $M N = 6$  ( $e^{-6} \simeq 0.002$ ).

Appear to play a role only in evaluating  
the coefficient of divergences.



## A remark on numerics

The most difficult part of the algorithm is the [inversion](#) of the fermionic matrix

$$|\text{Pf } O_F| \equiv (\det O_F^\dagger O_F)^{\frac{1}{4}} \equiv \int d\zeta d\bar{\zeta} e^{-\int d^2\xi \bar{\zeta} (O_F^\dagger O_F)^{-\frac{1}{4}} \zeta}.$$

The RHMC (Rational Hybrid Montecarlo) uses a rational approximation

$$\bar{\zeta} (O_F^\dagger O_F)^{-\frac{1}{4}} \zeta = \alpha_0 \bar{\zeta} \zeta + \sum_{i=1}^P \bar{\zeta} \frac{\alpha_i}{O_F^\dagger O_F + \beta_i} \zeta$$

with  $\alpha_i$  and  $\beta_i$  tuned by the range of eigenvalues of  $O_F$ .

Defining  $s_i \equiv \frac{1}{O_F^\dagger O_F + \beta_i} \zeta$ , one solves

$$(O_F^\dagger O_F + \beta_i) s_i = \zeta, \quad i = 1, \dots, P.$$

with a (multi-shift conjugate) solver for which

$$\text{number of iterations} \sim \lambda_{\min}^{-1}$$

In our case the spectrum of  $O_F$  has [very small eigenvalues](#).

And:

$$O_F = \begin{pmatrix} & \text{i}\partial_t \\ \text{i}\frac{z^M}{z^3} \rho^M \left(\partial_s - \frac{m}{2}\right) & \end{pmatrix}$$

# Alternative linearization

$\Gamma_5$ -hermiticity and antisymmetry hold now for the full operator (including aux. fields)

$$O_F^\dagger = \Gamma_5 O_F \Gamma_5, \quad O_F^T = -O_F$$

Pfaffian is **real**,  $(\text{Pf} O_F)^2 = \det O_F \geq 0$ , but **not** positive definite,  $\text{Pf} O_F = \pm \det O_F$ .

**Gain in computational costs:** for large values of  $N$  (finer lattices) the algorithm for evaluating complex determinants is very inefficient. Now just a sign flip.

$$\langle \mathcal{O} \rangle_{\text{reweight}} = \frac{\langle \mathcal{O} e^{i\theta} \rangle_{\theta=0}}{\langle e^{i\theta} \rangle_{\theta=0}} \quad \xrightarrow{\text{blue arrow}} \quad \langle \mathcal{O} \rangle_{\text{reweight}} = \frac{\langle \mathcal{O} w \rangle}{\langle w \rangle} \frac{\sqrt{\det O_F}}{\sqrt{\det O_F}}$$

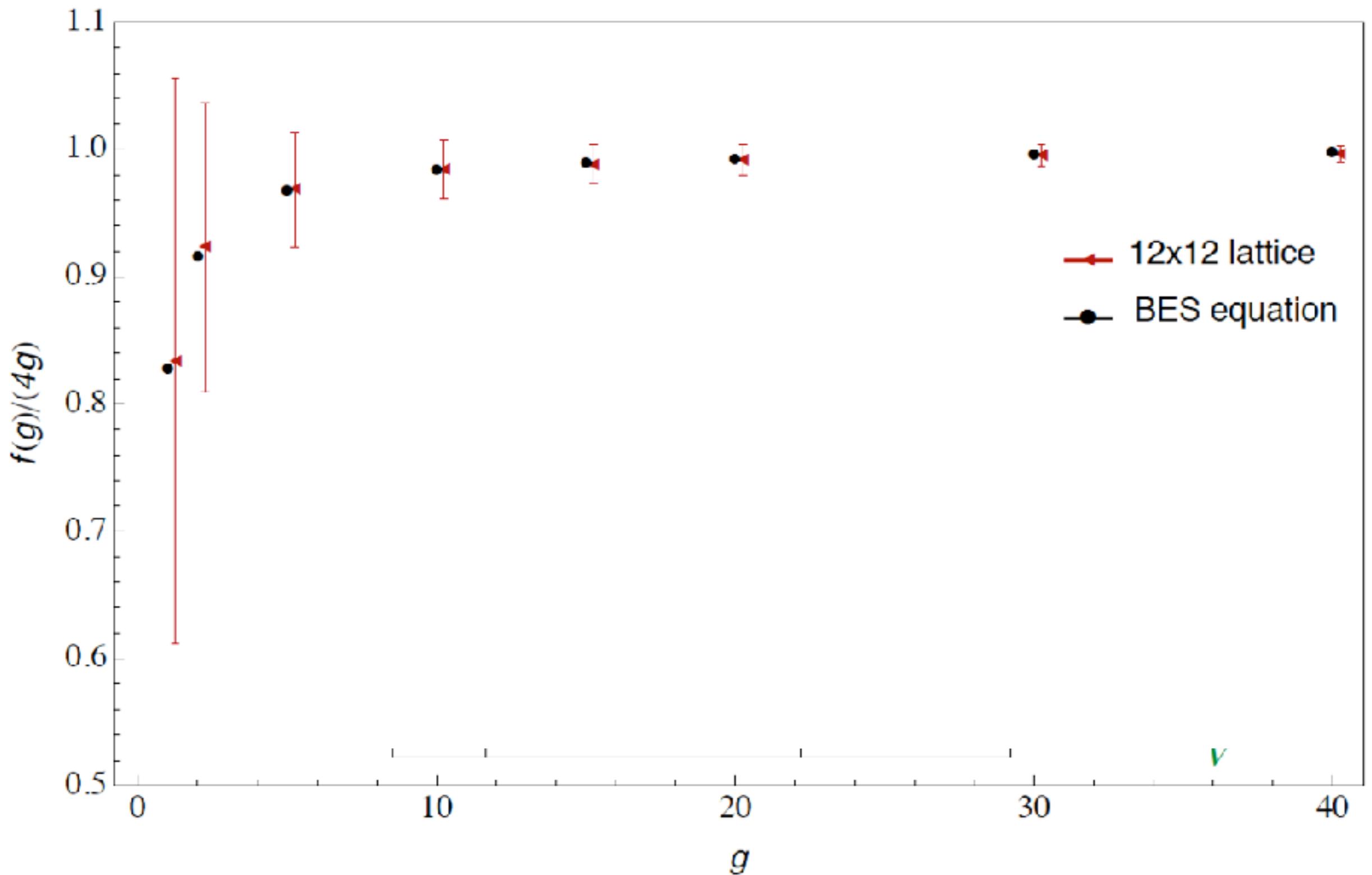
where  $w = \pm 1$ , and  $\sqrt{\det O_F} = (\det O_F^\dagger O_F)^{\frac{1}{4}}$ .

In simpler models with four-fermion interactions, similar manipulations ensure a definite positive Pfaffian. There real, antisymmetric operator with **doubly degenerate** eigenvalues: quartets  $(ia, ia, -ia, -ia), a \in \mathbb{R}$ .

[Catterall 2016, Catterall and Schaich 2016]

## Previous study

[McKeown Roiban, arXiv: 1308.4875]



## Remark

Completely solved via integrability.

- ▶ In general, no quest here for integrability-preserving discretization.  
We use an integrable model for establishing a benchmark of the method,  
(we'll actually break manifest symmetries, let alone hidden ones!)  
the integrability prediction as final check for standard lattice field theory methods.
- ▶ That the dispersion relation for string world-sheet excitations on a BMN vacuum

$$\epsilon^2 = 1 + 16 g^2 \sin^2 \left( \frac{p}{4g} \right)$$

is lattice-like plays no role here.